

Guarantee Issuance User Guide

Oracle Banking Trade Finance Process Management

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Oracle Banking Trade Finance Process Management - Guarantee Issuance User Guide
Oracle Financial Services Software Limited

Oracle Park
Off Western Express Highway
Goregaon (East)
Mumbai, Maharashtra 400 063
India
Worldwide Inquiries:
Phone: +91 22 6718 3000
Fax: +91 22 6718 3001
www.oracle.com/financialservices/

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Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTfPM) User Guide. This guide provides an overview on the OBTfPM application and takes you through the various steps involved in creating and processing trade finance transactions.

This document will take you through following activities in OBTfPM:

- To create and handle trade finance transaction.
- Help users to conveniently create and process trade finance transaction.

Overview

OBTfPM is a trade finance middle office platform, which enables bank to streamline the trade finance operations. OBTfPM enables the customers to send request for new trade finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTfPM helps banks to manage trade finance operations across the globe in different currencies. OBTfPM allows you to:

- Handle all trade finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Guarantee Issuance

As part of Guarantee Issuance, the applicant approaches a bank and requests the bank to issue a Bank Guarantee on their behalf to the beneficiary.

The various activities involved in OBTFPM during issuance of a guarantee are:

- Receive and verify application and other documents (Non Online Channel) - Registration stage
- Input application details
- Upload of related mandatory and non mandatory documents
- Verify documents and capture details (Online/Non Online Channels)- Scrutiny stage
- Check balance availability for amount block
- Input/Modify details of the guarantee - Data enrichment stage
- Conduct legal checks
- Check for limit availability
- Check for sanctions & KYC status
- Earmark limits/Create amount block for cash margin/charges
- Capture remarks during any stage of transaction for other users to check and act
- Draft guarantee copy for legal verification
- Generate acknowledgement and draft guarantee copy to customer
- Notify customer on any negative statuses during any of the stages to the applicant
- Hand off approved transaction to back office

In the subsequent sections, let's look at the details for Guarantee Issuance process:

This section contains the following topics:

Common Initiation Stage	Registration
Document Linkage	Data Enrichment
Exceptions	Multi Level Approval
Customer - Acknowledgement letter	Customer - Reject Letter
Reject Approval	Initiate - Guarantee Issuance Template Maintenance

Common Initiation Stage

The user can initiate the new guarantee issuance request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.

Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
Customer ID	Select the customer id of the applicant or applicant's bank.
Branch	Select the branch.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

Registration

If the Guarantee Issuance request is given through branch either by fax, email or physical application form, the Guarantee Issuance process starts from the registration stage.

During registration stage, user can capture the basic details of the application, check the signature of the applicant and upload the related documents of the applicant. It also enables the user to capture some additional product related details as an option. On submit of the request, the customer will be notified with an acknowledgment and the request will be available for a Guarantee Issuance expert to handle the request in the next stage.

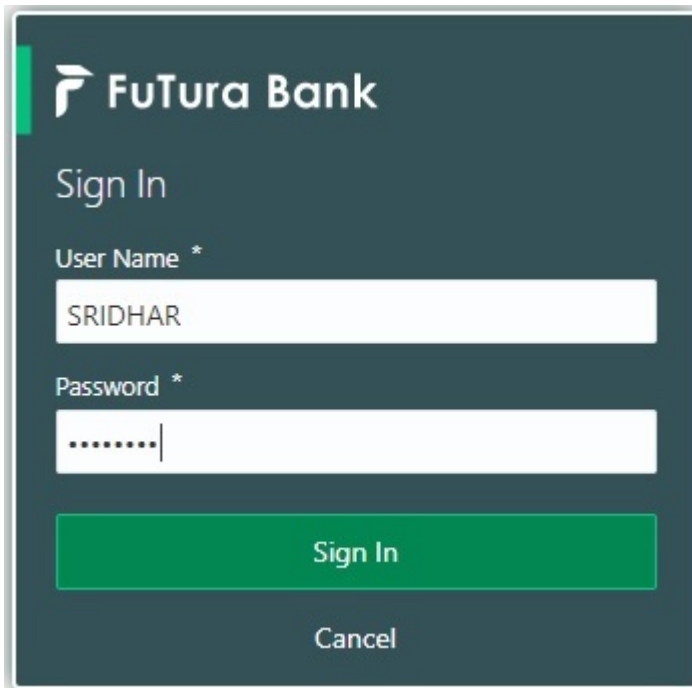
Tasks initiated vide SWIFT corporate message, when customer initiates a Guarantee Issuance request through SWIFT (Corporate to SWIFT) channel, the MT798 message along with sub message MT784 / MT760/MT761 containing the request is parsed and based on the STP parameters maintained, can create a Guarantee Issuance Task in OBTFPM. The MT798 message, is routed to the Issuing Bank. Issuing

Bank receives the MT798 and creates a task in Guarantee Issuance Process in Scrutiny stage in OBTFPM.

The Incoming MT798 message contains 3 sections.

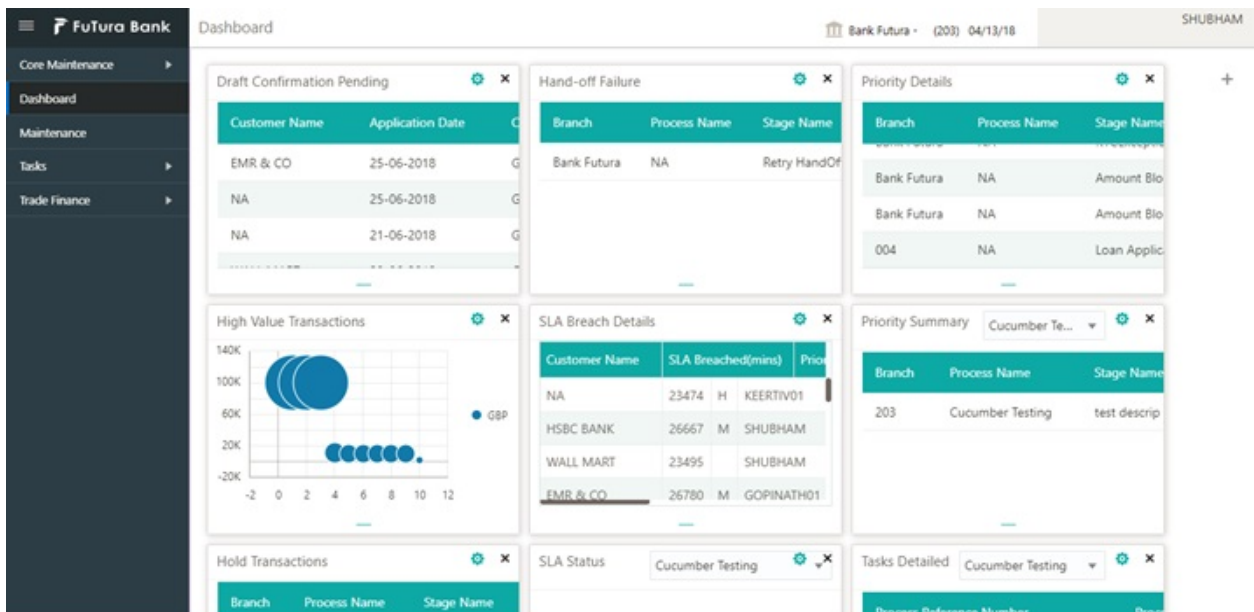
- MT798 Index Message which contains the Sub message type 784
- MT798 Details Message which contains the Sub Message type 760 (MT700 tags with values)
- MT798 Extension Message which contains the Sub Message type 761 (MT761 Extension message tag values) if applicable.

1. Using the entitled login credentials for registration stage, login to the OBTFPM application.

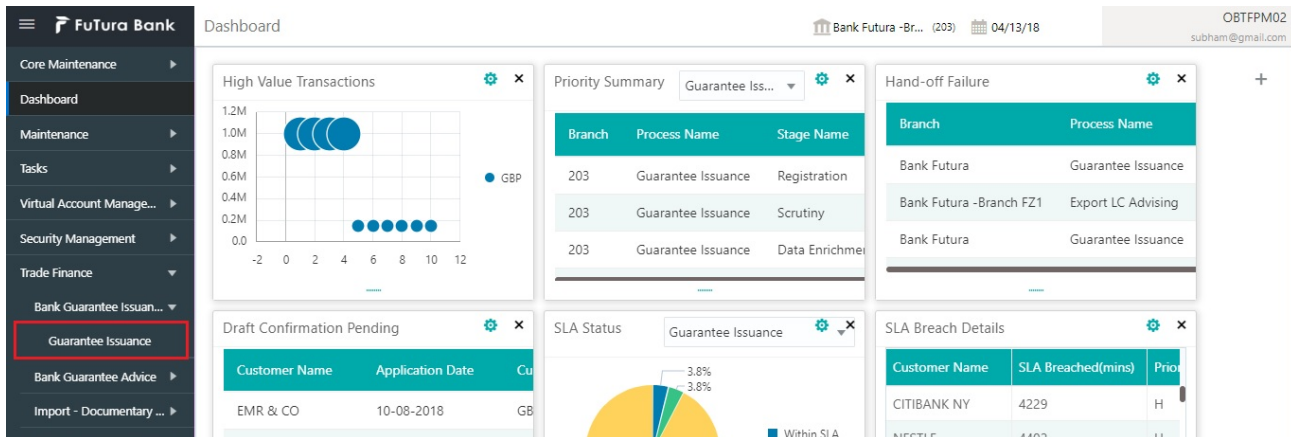


The image shows the 'FuTura Bank' login interface. It features a dark blue header with the bank's logo and name. Below the header, the text 'Sign In' is displayed. There are two input fields: 'User Name *' with the value 'SRIDHAR' and 'Password *' with masked characters. A green 'Sign In' button and a 'Cancel' button are at the bottom.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click Trade Finance> Bank Guarantee Issuance> Guarantee Issuance.



The registration stage has two sections Application Details and Guarantee Details. Let's look at the registration screens below:

Application Details

Guarantee Issuance

Application Details

Received From Applicant Bank

32B - Currency Code, Amount

USD \$1,200.00

Application Date

May 5, 2021

22D - Form of Undertaking

STBY - Standby LC

22K - Type of Undertaking

Credit Facilities Guarantee

23X - Narrative

23B - Expiry Type

FIXD

Applicant

000335 SH TEST CORP

Local SBLC/Guarantee Issuing Bank

001185 RBS PLC

51- Obligor/ Instructing Party

Revenue Sharing Percentage

Received From - Customer ID

000335

Priority

Medium

Customer Reference Number

Product Code

OPNG

22K - Narrative

31C - Date of Issue

May 5, 2021

Date of Expiry

May 31, 2022

Beneficiary

000322 Trade Indiv 2

39D - Additional Amounts

Obligor Collateral Percentage

Received From - Customer Name

SH TEST CORP

Submission Mode

Desk

Copy Existing Undertaking

Product Description

Guarantee Issuance: Reissuance upon r

22A - Purpose of Message

ICCO - Issuance of counter-coun...

40E - Applicable Rules

UCPR - Uniform customs and Pr...

35G - Expiry Condition/ Event

Advising Bank

Accountee

Auto Close

20 - Undertaking Number

PK2OPNG211261001

23X - File Identification

40C - Narrative

51A - Applicant Bank

Counter SBLC/Guarantee Issuing Bank

000330 CITIBANK

Amount in Local Currency

GBP £480.00

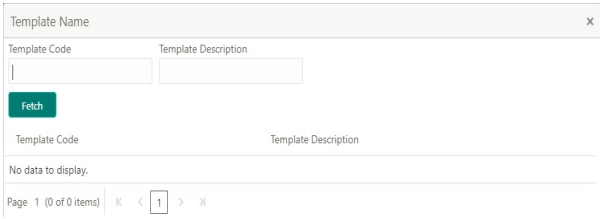
Closure Date

Hold Cancel Save & Close Submit

Provide the Application Details based on the description in the following table. In case of MT798, Application Details are defaulted to SWIFT.

Field	Description	Sample Values
Received From Applicant bank	Guarantee Issuance request can be received from the applicant or from the applicant's bank. Toggle on: Switch on the toggle if Guarantee Issuance request is received from applicant's bank. Toggle off: Switch off the toggle if Guarantee Issuance request is received from applicant.	Toggle off
Received From - Customer ID	Select the customer id of the applicant or applicant's bank.	001345

Field	Description	Sample Values
Received From - Customer Name	Name of the customer or applicant. This field will be auto populated based on the selected customer ID.	EMR & CO
Branch	Select the branch. Customer's home branch will be displayed based on the customer ID and it can be changed, if required.  Note Once the request is submitted, Branch field is non-editable. If the Guarantee Issuance is at Counter Issuing Bank (CIB), Branch to be resolved from CIF. If the Guarantee Issuance is at Local Issuing Bank (LIB), Branch to be resolved from CIF.	203-Bank Futura -Branch FZ1
Currency code, Amount	Select the currency code and Provide the guarantee value (with decimal places) as per currency type. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	GBP
Priority	Priority maintained will be populated as either 'Low or Medium or High'. If priority is not maintained for a customer, 'Medium' priority will be defaulted. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is defaulted and user can change its value. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is defaulted and user can change its value.	High
Submission Mode	Select the submission mode of Guarantee Issuance request. By default the submission mode will have the value as 'Desk'. Desk- Request received through Desk Fax - Request received through Fax Email - Request received through Email If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and SWIFT. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and SWIFT.	Desk

Field	Description	Sample Values
Process Reference Number	Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and generated by system. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and generated by system.	203GTEISS000001134
Application Date	By default, the application will display branch's current date and enables the user to change the date to any back date.  Note Future date selection is not allowed.	04/13/2018
Customer Reference Number	User can enter the 'Reference number' provided by the applicant/applicant bank.	
Copy Existing Undertaking	Select any existing undertaking to be copied, if required.	
Template name	This is applicable only for the non-online Guarantee Issuance request. This option allows user to select a template if the applicant details are already captured and the data can be reused with the template to reduce the effort. The details pertaining to the subsequent screens in scrutiny and data enrichment screens will be persisted and populated when you move to the relevant screens. Before populating the screens, application will check if there are any existing values and will display an alert message ' Value exist already in few fields - Do you want to use the template - Yes/No'. If the you click on Yes the existing details will be over-written with the template values. Click the look up icon to search the Template code with Template Code or Template Description. 	

Guarantee Details

Registration user can provide Guarantee details in this section. Alternately, guarantee details can be provided by Scrutiny user.

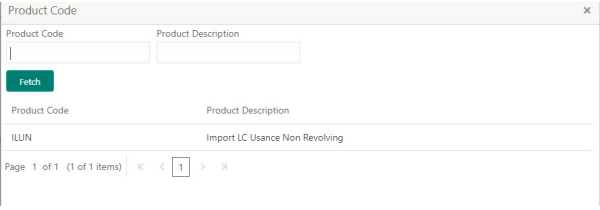
SBLC/Guarantee Details

22D - Form of Undertaking * STBY - Standby LC	Product Code OPNG	Product Description Guarantee Issuance Reissuance upon r	20 - Undertaking Number PK2OPNG211261001
22K - Type of Undertaking * Credit Facilities Guarantee	22K - Narrative	22A - Purpose of Message * ICCO - Issuance of counter-coun...	23X - File Identification
23X - Narrative	31C - Date of Issue May 5, 2021	40E - Applicable Rules * UCPR - Uniform customs and Pr...	40C - Narrative
23B - Expiry Type * FIXD	Date of Expiry * May 31, 2022	35G - Expiry Condition/ Event	51A - Applicant Bank
Applicant 000335 SH TEST CORP	Beneficiary * 000322 Trade Indiv 2	Advising Bank	Counter SBLC/Guarantee Issuing Bank * 000330 CITIBANK
Local SBLC/Guarantee Issuing Bank * 001185 RBS PLC	39D - Additional Amounts	Accountee	Amount In Local Currency GBP £480.00
51- Obligor/ Instructing Party	Obligor Collateral Percentage	Auto Close	Closure Date
Revenue Sharing Percentage			

Hold Cancel Save & Close Submit

Provide the Guarantee Details based on the description in the following table:

Field	Description	Sample Values
Form of Undertaking	Select the Form of Undertaking from the available options: - DGAR - Guarantee - STBY - Standby LC  Note This is a mandatory field. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	

Field	Description	Sample Values
Product Code	Select the applicable product code. Click the look up icon to search the product code with code or product description.  You can also enter the product code and on tab out system will validate and populate the selected product description. The product codes will be listed based on the selected value in Form of Undertaking. If the Guarantee Issuance is at Counter Issuing Bank (CIB), user can enter the product code. If the Guarantee Issuance is at Local Issuing Bank (LIB), user can enter the product code.	GUIS
Product Description	Auto populated by the application based on the Product Code selected.	Guarantee Issuance / Re-issuance upon receiving request
Undertaking Number	Provide the undertaking number available in the guarantee/SBLC. If the Guarantee Issuance is at Counter Issuing Bank (CIB), Undertaking Number is generated from Back office System and in incoming MT 760 to be populated against Reference field for party CIB. If the Guarantee Issuance is at Local Issuing Bank (LIB), Undertaking Number is generated from Back office System and in incoming MT 760 to be populated against Reference field for party CIB.	

Field	Description	Sample Values
Type of Undertaking	Select the guarantee type from the following available options: • Advance Payment Guarantee • Credit Facilities Guarantee • CUST - Customs Guarantee • DPAY - Direct Pay • INSU - Insurance • JUDI - Judicial • LEAS - Lease • PAYM - Payment Guarantee • PERF - Performance for bank guarantee • RETN - Retention • SHIP - Shipping - For shipping guarantee • TEND - Tender or Bid • WARR - Warranty • OTHR - Any other local undertaking type.	Financial Guarantee
Narrative	Provide the details of any other type of local undertaking. This field is applicable if the Guarantee Type has value as OTHR. For Guarantee Advising MT760, the Narrative is read only and populated from Incoming MT 760.	

Field	Description	Sample Values
Purpose of message	Select the purpose of message from the LOV: • ISSU - Issue of Undertaking In case the Undertaking is sent through SWIFT MT 760, the advising bank has to just advise the Undertaking to the Beneficiary. In case the Undertaking is advised through Mail Advice, the guarantee can be directly mailed by the Issuing bank to the Beneficiary. This is applicable for Guarantees/ Local Guarantees and SBLC (Standby LC) • ICCO - Issuance of counter-counter-undertaking and request to issue counter-undertaking The bank receiving the Undertaking (usually through SWIFT MT 760) is required to issue a Counter- undertaking to another bank requesting the third Bank to issue Local Undertaking favoring the Beneficiary. • ISCO - Issuance of counter-undertaking and request to issue local undertaking The bank receiving the Undertaking (usually through SWIFT MT 760) is required to issue Local Undertaking to the beneficiary. If the Guarantee Issuance is at Counter Issuing Bank (CIB), Purpose of Message is 'ISCO'. If the Guarantee Issuance is at Local Issuing Bank (LIB), Purpose of Message is 'ISSU'.	
Narrative	Provide the details of any other type of local undertaking. This field is applicable if the Guarantee Type has value as OTHR.	

Field	Description	Sample Values
File Identification	This field enables the user to select the type of delivery channel and its associated file name or reference from the available values: • COUR - Courier delivery • EMAL - Email transfer • FACT - SWIFTNet • FAXT - Fax transfer • HOST - Host-to-Host • MAIL - Postal Delivery • OTHR - Other delivery channel If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	
Narrative	If File Identification field values are COUR or OTHR, user must be able to provide description in this field. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	
Date of Issue	Application will default the branch's current date in date of issue. User cannot change the defaulted date. Application will populate the Date of Issue field with branch date on approval if date of approval is later than date of registration. If the Guarantee Issuance is at Counter Issuing Bank (CIB), Date of Issue = Branch Date (Date of Issue in incoming MT 760 should be mapped to Party CIB- Field Date). If the Guarantee Issuance is at Local Issuing Bank (LIB), Date of Issue = Branch Date (Date of Issue in incoming MT 760 should be mapped to Party CIB- Field Date).	04/13/18

Field	Description	Sample Values
Applicable Rules	Select the applicable rules for the Guarantee Issuance from the available options: • URDG - Uniform rules for demand guarantees • UCPR - Uniform customs and Practices • ISPR - International standby Practices • OTHR If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	URDG - Uniform rules for demand guarantees
Narrative	If Applicable Rules field value is OTHR, user must be able to provide description in this field. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	
Expiry Type	This field indicates whether undertaking has specified expiry date or is open-ended. Select the applicable value from the available options: • COND - Conditional Expiry • FIXD - Specified expiry date (with/without automatic expansion) • OPEN - No specific date of expiry If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	

Field	Description	Sample Values
Date Of Expiry	Provide the expiry date of the Guarantee Issuance. The expiry date can be equal or greater than the issue date. If the Expiry Date is earlier than the issue date, system will provide an error and if the 'Expiry Date is equal to the Issue Date', system will provide an alert message. This field is applicable only if Expiry Type is COND or FIXD. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	09/30/18
Expiry Condition/Event	This field specifies the documentary condition/event that indicates when the local undertaking will cease to be available. This field is applicable only if Date of Expiry field value is COND. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	
Applicant Bank	In application details, if Received From Applicant Bank toggle is on, the applicant bank details will be captured here. Click the look up icon to search the Applicant Bank based on Party ID/Party Name. If request is not received from applicant bank, this field must be blank.	001342 -HSBC Bank
Applicant	Applicant details will be auto populated based on the details provided in Application Details section. If the request is received from Applicant bank, select the applicant from the List of Values. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	001345 Nestle

Field	Description	Sample Values
Beneficiary	Select the beneficiary in whose favor the undertaking (or counter-undertaking) is issued. If beneficiary is not a customer of the bank, then choose WALKIN customer id and provide the beneficiary details. If beneficiary is a customer and KYC status is not Valid, then system will display alert message. If the Guarantee Issuance at Counter Issuing Bank (CIB) the field is Read only and Populated from Incoming MT 760. If the Guarantee Issuance at Local Issuing Bank (LIB), Customer ID to be resolved from incoming message. If required use Walk-in ID. Bene ID/ name should be made amendable by the user.	001344 EMR & CO
Advising Bank	Select the advising bank. Click the look up icon to search the advising bank based on Party ID/Party Name.You can also input the party ID and on tab out system will validate and populate the 'Advising Bank' name. Note In case the selected Bank is not RMA Compliant, the system prompts the user to use a different advising bank or use non SWIFT Media to transmit the LC and displays error message “RMA arrangement not available, please change the bank or use MAIL Medium”. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	001343 - Bank Of America

Field	Description	Sample Values
Counter Guarantee Issuing Bank	Select the Counter Guarantee Issuance Bank from the LOV. This field is applicable only if the Purpose of Message field has value as ICCO.  Note If Counter Issuing Bank has value, and in case the selected Bank is not RMA Compliant, the system displays error message “RMA arrangement not available”. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.	
Local Guarantee Issuing Bank	Select the Local Guarantee Issuance Bank from the LOV. This field is applicable only if the Purpose of Message field has value as ICCO or ISCO.  Note If Local Issuing Bank has value and Counter Issuing Bank has no value, and in case the selected Bank is not RMA Compliant, the system displays error message “RMA arrangement not available”. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	
Additional Amounts	Provide any additional amounts related to undertaking. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	
Accountee	User can select the accountee from LOV.	8/2
Amount In Local Currency	System fetches the local currency equivalent value for the transaction amount from back office (with decimal places).	
Auto Close	Toggle On: Enable the toggle, if Auto close is required for that transactions. Toggle Off: Disable the toggle, if Auto close is not required for that transactions.	Auto Close

Field	Description	Sample Values
Closure Date	System default the "Closure Date" with the value "Expiry Date" + No of Closure days maintained in the respective Product in which the contract has been created. System automatically close the contract on the specified "Closure Date" if "Auto Close" is selected as "Yes" for the specific contract. User can modify the system defaulted "Closure Date" and system should validate the same for the below conditions, • Closure Date must be after the Issue Date. • Closure Date must be after the Expiry Date. • Closure Date cannot be blank, when the "Auto Close" is checked.	Closure Date
Revenue Sharing Percentage	Read only field. System populates the values from the "Trade Finance Customer Maintenance" if the below conditions are met. • Purpose of Message is "ISCO" or "ICCO" • CIB or LIB is captured in the Guarantee Contract. • If CIB and LIB is available in the Guarantee Contract, then system should populate the "Revenue sharing Percentage" maintained against party CIB. • If LIB is only available without CIB in the Guarantee Contract, then system should populate the "Revenue sharing Percentage" maintained against party LIB. • Percentage should be populated after tab out from LIB field.	

Miscellaneous

Guarantee Issuance
Documents
Remarks
Customer Instruction
Common Group Messages

Application Details

Received From - Applicant Bank
32B - Currency Code, Amount
USD
\$1,200.00
Application Date
May 5, 2021

Received From - Customer ID
000335
Priority
Medium
Customer Reference Number

Received From - Customer Name
SH TEST CORP
Submission Mode
Desk
Copy Existing Undertaking

Branch
000-FLEXCUBE UNIVERSAL BANK
Process Reference Number
000GTEI00074013
Template Name

SBLC/Guarantee Details

22D - Form of Undertaking
STBY - Standby LC
22K - Type of Undertaking
Credit Facilities Guarantee
23X - Narrative
23B - Expiry Type
FIXD
Applicant
000335 SH TEST CORP
Local SBLC/Guarantee Issuing Bank
001185 RBS PLC
51 - Obligor/ Instructing Party
Revenue Sharing Percentage

Product Code
OPNG
22K - Narrative
31C - Date of Issue
May 5, 2021
Date of Expiry
May 31, 2022
Beneficiary
000322 Trade Indiv 2
39D - Additional Amounts
Obligor Collateral Percentage

Product Description
Guarantee Issuance - Reissuance upon r
22A - Purpose of Message
ICCO - Issuance of counter-coun...
40E - Applicable Rules
UCPR - Uniform customs and Pr...
35G - Expiry Condition/ Event
Advising Bank
Accountee
Auto Close

20 - Undertaking Number
PK2OPNG211261001
23X - File Identification
40C - Narrative
51A - Applicant Bank
Counter SBLC/Guarantee Issuing Bank
000330 CITIBANK
Amount In Local Currency
GBP £480.00
Closure Date

Hold Cancel Save & Close Submit

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Provide any additional information regarding the Guarantee Issuance. This information can be viewed by other users processing the request.	
Customer Instructions	Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Submit	On Submit, system will trigger acknowledgment to the customer and give confirmation message for successful submission. Task will get moved to next logical stage of Guarantee Issuance. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	

Field	Description	Sample Values
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancels the Guarantee Issuance Registration stage input.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Checklist	Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.	

Document Linkage

The user can link an existing uploaded document in any of the process stages.

In OBTFPM, system should display Document Ids available in the DMS system. In DMS system, the documents can be Uploaded and stored for future access. Every document stored in DMS will have a unique document id along with other Metadata. The uploaded Document image in the DMS should be available/queried in the Process flow stage screens to link with the task by using the Document ID.

System displays the Documents ids which is not linked with any of the task. Mid office should allow either upload the document or link the document during task processing. The Mid office should allow to Link the same Document in multiple tasks.

1. Navigate to the Registration screen.
2. On the header of **Registration** screen, click **Documents** button. The Document pop-up screen appears.

Documents

Document Status All

Letter of Credit

Pro-forma Invoice

⬆

Letter of Credit

Application Form

⬆

+

Close

3. Click the Add Additional Documents button/ link. The **Document** screen appears.

Document

Document Type *
Letter of Credit ▼

Document Title *

Document Code *
Insurance Policy ▼

Document Description

Remarks

Document Expiry Date

Drop files here or click to select

Link Document

Selected files: []

Upload

Link

Cancel

Field	Description	Sample Values
Document Type	Select the Document type from list. Indicates the document type from metadata.	
Document Code	Select the Document Code from list. Indicates the document Code from metadata.	
Document Title	Specify the document title.	
Document Description	Specify the document description.	
Remarks	Specify the remarks.	
Document Expiry Date	Select the document expiry date.	
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

4. Select the document to be uploaded or linked and click the **Link Document** link. The link Document pop up appears.

The value selected in Document Type and Document code of Document screen are defaulted in the Link Document Search screen.

- Click **Fetch** to retrieve the details from DMS. System Displays all the documents available for the given Document Type and Document Code for the Customer.

Field	Description	Sample Values
Customer ID	This field displays the transaction Customer ID.	
Document ID	Specify the document Id.	
Document Type	Select the document type from list.	
Document Code	Select the document code from list.	
Search Result		
Document ID	This field displays the document Code from meta data.	
Customer ID	This field displays the transaction Customer ID.	
Document Type	This field displays the document type from meta data.	
Document Code	This field displays the document code from meta data.	
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

6. Click **Link** to link the particular document required for the current transaction.

The screenshot shows a 'Documents' interface. At the top, there's a 'Document Status' dropdown set to 'All'. Below this, there are three main sections. The first two are 'Letter of Credit' and 'Pro-forma Invoice', each with an 'Application Form' and an upload icon. The third section shows a file named 'wqwq.png' with a close button, creation details ('Created - 2022-06-28 By - PERI01'), and icons for search, edit, and download. A 'Close' button is at the bottom right.

Post linking the document, the user can View, Edit and Download the document.

7. Click Edit icon to edit the documents. The Edit Document screen appears.

The 'Edit Document' screen contains several input fields. On the left: 'Document Id' (2400), 'Application Reference Number' (PK2ILCI000019041), 'Document Type Id' (TFPM_DOCTYPE001), and 'Remarks'. On the right: 'Document Title' (wqwq), 'Entity Reference Number' (PK2ILCI000019041), 'Document Description', 'Document Expiry Date' (Jun 29, 2022), and a file upload area with the text 'Drop files here or click to select'. At the bottom right are 'Update' and 'Cancel' buttons. The text 'Current selected files: []' is also present.

OBTFPM- OBDX Bidirectional flow

As a part of Digital Experience, customers can initiate Trade Finance Transactions from online channels and the respective task will be available in OBTFPM for further handling.

OBTFPM user, for task received from online channel, raise clarification and receive response from the customer.

1. Customer initiates the Trade Finance transaction in Online channel (OBDX) and upload the necessary documents.

2. The task created will land in the Scrutiny stage of OBTFPM for handling by Trade expert for reviewing and identifying mismatch/incomplete data.
3. In the Scrutiny, Data Enrichment or Approval the bank user may require clarification from customer, OBTFPM user clicks **Request Clarification** button to request for online clarification from customer.

4. The **Request Clarification** detailed screen appears, user enters the information and clicks **Save**, the information should be sent to customer.

5. OBTFPM user should be able to see the details in the View Clarification window and the status will be Clarification Requested. The user can click Re clarification if required.

Import LC Issuance - Scrutiny :: Application No: PK2ILCI000042424

Clarification Details - Application No : PK2ILCI000042424

Proforma Invoice is not uploaded. Instead some other document is uploaded as proforma invoice.
Please delete the present document and upload the proforma invoice

Save & Close

6. The task goes to **Awaiting Customer Clarification** state until the response received from the customer.

ORACLE

Menu Item Search...

Core Maintenance

Dashboard

Machine Learning

Maintenance

Security Management

Tasks

Awaiting Customer Clarification

Completed Tasks

Free Tasks

Hold Tasks

My Tasks

Search

Supervisor Tasks

Trade Finance

Administration

Bank Guarantee Advise

Bank Guarantee Issuance

Enquiry

Export - Documentary Collection

Awaiting Customer Clarification

Refresh Assign Flow Diagram

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number	Amount
✓ Edit	M	Guarantee Issuance	PK2ILCA000042586	PK2ILCA000042586	Scrutiny	20-12-16	PK2	006466	

Page 1 of 1 (1 - 1 of 1 items)

7. Click **Edit**.

Clarification Details - Application No : PK2ILCI000042424

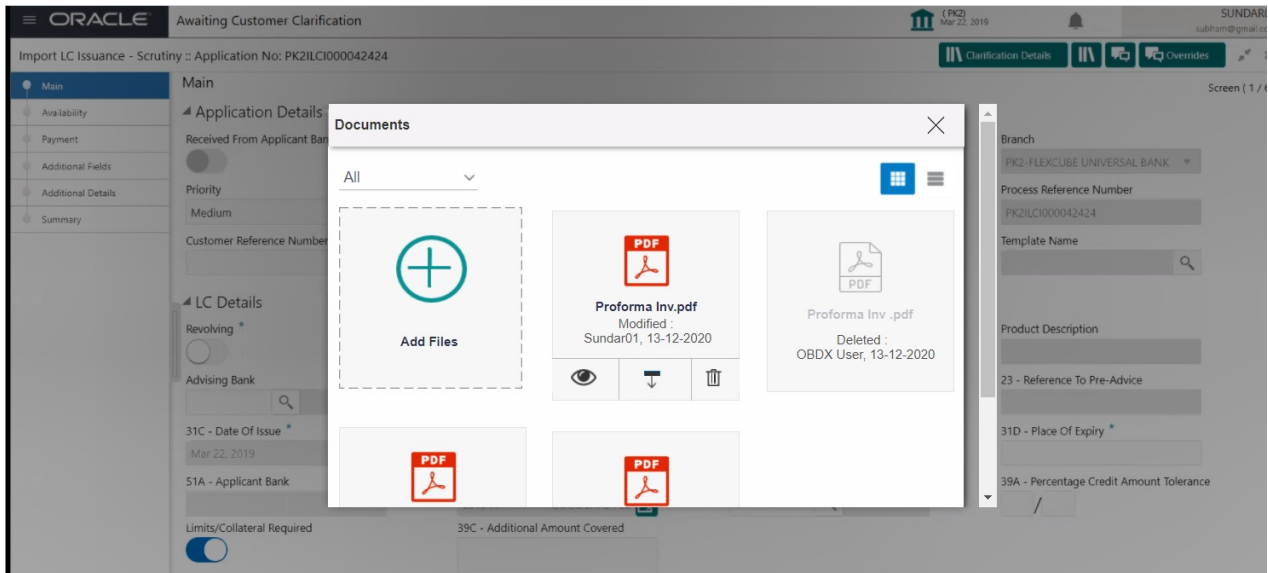
Clarification	Raised By	Clarification Date	Response	Response Date	Response Type	Status
Proforma Invoice is not uploaded. Instead some other document is	SUNDAR01	2019-03-21T18:31				Clarification Requested

Re Clarification Manual Update

Enter text here...

Accept Clarification Close

8. The user can click **Accept Clarification** button, if the query raised has been answered by the customer. The status should change to Clarification Accepted. on next the task moves to the next logical stage.
9. Bank user checks the Clarification and opens the **Documents** Tab. System displays both the new document uploaded and the metadata for deleted document and the deleted document is displayed in a blurred way. User can open the new document, the deleted document cannot be opened. System should also increment the version number of the documents.



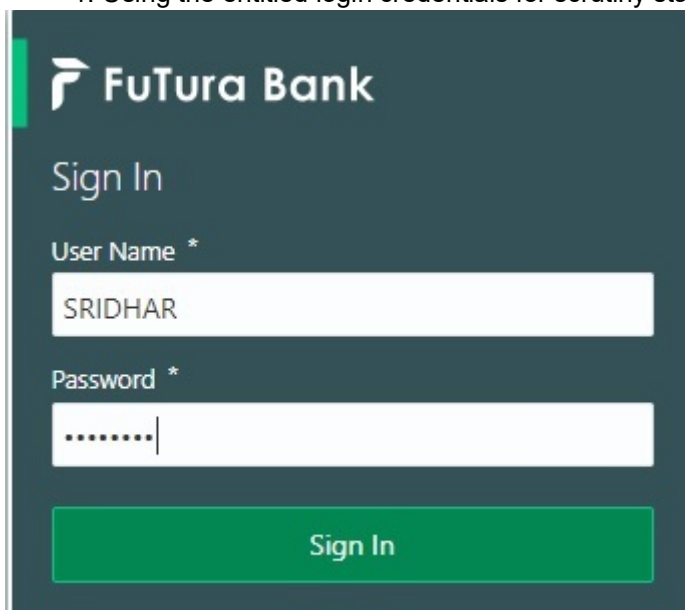
Scrutiny

On successful completion of registration of a Guarantee issuance request, the request moves to scrutiny stage. At this stage the gathered information during registration are scrutinized.

In case of MT798 message, if the User encounters validation error during handling the task, user can put the task on 'Hold' and resume after getting the correct details from the customer (by manually sending a message through common group messages). The user if required can update the editable fields. The fields that have been changed/updated have to be highlighted by the system and the user can check the incoming message place holder for the original value.

Do the following steps to acquire a task currently at Scrutiny stage:

1. Using the entitled login credentials for scrutiny stage, login to the OBTFPM application.



2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

The dashboard for FuTura Bank displays several widgets. The left sidebar shows navigation options: Core Maintenance, Dashboard, Maintenance, Tasks, and Trade Finance. The main area contains the following widgets:

- Draft Confirmation Pending:** Table with columns Customer Name, Application Date, and Status. Data rows include EMR & CO (25-06-2018), NA (25-06-2018), and NA (21-06-2018).
- Hand-off Failure:** Table with columns Branch, Process Name, and Stage Name. Data row: Bank Futura, NA, Retry HandOff.
- Priority Details:** Table with columns Branch, Process Name, and Stage Name. Data rows include Bank Futura (NA, Amount Blo), Bank Futura (NA, Amount Blo), and 004 (NA, Loan Applic).
- High Value Transactions:** A bubble chart showing transaction values on the Y-axis (ranging from -20K to 140K) and categories on the X-axis (ranging from -2 to 12). A legend indicates GBP.
- SLA Breach Details:** Table with columns Customer Name, SLA Breached(mins), and Priority. Data rows include NA (23474, H, KEERTIVO1), HSBC BANK (26667, M, SHUBHAM), WALL MART (23495, SHUBHAM), and EMR & CO (26780, M, GOPINATH01).
- Priority Summary:** Table with columns Branch, Process Name, and Stage Name. Data row: 203, Cucumber Testing, test descrip.
- Hold Transactions:** Table with columns Branch, Process Name, and Stage Name.
- SLA Status:** A dropdown menu currently showing 'Cucumber Testing'.
- Tasks Detailed:** A dropdown menu currently showing 'Cucumber Testing'.

3. Click **Trade Finance> Tasks> Free Tasks**.

The Oracle Free Tasks page displays a list of tasks. The left sidebar shows navigation options: Core Maintenance, Dashboard, Maintenance, Security Management, Tasks, Completed Tasks, Free Tasks (highlighted), Hold Tasks, My Tasks, Search, Supervisor Tasks, and Trade Finance. The main area shows a table of tasks with the following columns: Action, Priority, Process Name, Process Reference Number, Application Number, Stage, Application Date, Branch, and Count.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Count
Acquire & Edit	M	Guarantee Issuance	300GTEI000030653	300GTEI000030653	Scrutiny	20-04-20	300	0
Acquire & Edit	M	Export Documentary Li...	300EDCL000030634	300EDCL000030634	Approval Task Level 1	70-01-01	300	0
Acquire & Edit	M	Import Documentary Li...	300IDCL000030640	300IDCL000030640	Approval Task Level 1	70-01-01	300	0
Acquire & Edit	M	Export Documentary- B...	300EDCB000029491	300EDCB000029491	DataEnrichment	70-01-01	300	0
Acquire & Edit	M	Export Documentary- B...	300EDCB000029489	300EDCB000029489	DataEnrichment	70-01-01	300	0
Acquire & Edit	M	Export Documentary- B...	300EDCB000029490	300EDCB000029490	Registration	70-01-01	300	0
Acquire & Edit	M	Export Documentary- B...	300EDCB000029487	300EDCB000029487	DataEnrichment	70-01-01	300	0
Acquire & Edit	M	Export Documentary- B...	300EDCB000029488	300EDCB000029488	Registration	70-01-01	300	0
Acquire & Edit	M	Export Documentary- B...	300EDCB000029486	300EDCB000029486	Registration	70-01-01	300	0
Acquire & Edit	M	Guarantee Issuance	300GTEI000029484	300GTEI000029484	Registration	70-01-01	000	0

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

The Oracle Free Tasks page is shown with the 'Acquire & Edit' button highlighted for the first task. The left sidebar shows navigation options: Core Maintenance, Dashboard, Maintenance, Security Management, Tasks, Completed Tasks, Free Tasks (highlighted), Hold Tasks, My Tasks, Search, Supervisor Tasks, and Trade Finance. The main area shows a table of tasks with the following columns: Action, Priority, Process Name, Process Reference Number, Application Number, Stage, Application Date, Branch, and Count.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Count
Acquire & Edit	M	Guarantee Issuance	300GTEI000030653	300GTEI000030653	Scrutiny	20-04-20	300	0
Acquire & Edit	M	Export Documentary Li...	300EDCL000030634	300EDCL000030634	Approval Task Level 1	70-01-01	300	0
Acquire & Edit	M	Import Documentary Li...	300IDCL000030640	300IDCL000030640	Approval Task Level 1	70-01-01	300	0
Acquire & Edit	M	Export Documentary- B...	300EDCB000029491	300EDCB000029491	DataEnrichment	70-01-01	300	0
Acquire & Edit	M	Export Documentary- B...	300EDCB000029489	300EDCB000029489	DataEnrichment	70-01-01	300	0
Acquire & Edit	M	Export Documentary- B...	300EDCB000029490	300EDCB000029490	Registration	70-01-01	300	0
Acquire & Edit	M	Export Documentary- B...	300EDCB000029487	300EDCB000029487	DataEnrichment	70-01-01	300	0
Acquire & Edit	M	Export Documentary- B...	300EDCB000029488	300EDCB000029488	Registration	70-01-01	300	0
Acquire & Edit	M	Export Documentary- B...	300EDCB000029486	300EDCB000029486	Registration	70-01-01	300	0
Acquire & Edit	M	Guarantee Issuance	300GTEI000029484	300GTEI000029484	Registration	70-01-01	000	0

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to scrutinize the registered task.

Menu Item Search...

Core Maintenance >
Dashboard
Maintenance >
Security Management >
Tasks >
Completed Tasks
Free Tasks
Hold Tasks
My Tasks
Search
Supervisor Tasks
Trade Finance >

My Tasks

Refresh Release Flow Diagram

AC...	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer
[checked] Edit	M	Guarantee Issuance	300GTEI000030653	300GTEI000030653	Scrutiny	20-04-20	300	001506

Page 1 of 1 (1 - 1 of 1 items) K < 1 > X

The scrutiny stage has three sections as follows:

- Main Details
- Guarantee Preferences
- Local Guarantee
- Additional Details
- Summary

Let's look at the details for scrutiny stage. User can enter/update the following fields. Some of the fields that are already having value from registration/online channels may not be editable.

Main Details

Main details section has three sub section as follows:

- Application Details
- Guarantee Details

Application Details

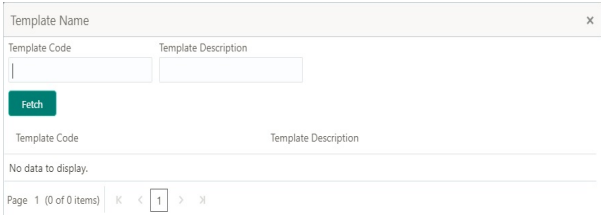
All fields displayed under Application details section, would be read only except for the **Priority** and **Customer Reference Number**. Refer to [Application Details](#) for more information of the fields.

Guarantee Details

The fields listed under this section are same as the fields listed under the [Guarantee Details](#) section in [Common Initiation Stage](#). Refer to [Guarantee Details](#) for more information of the fields. During registration, if user has not captured input, then user can capture the details in this section.

Following fields are the additional new fields apart from the fields carried over from [Guarantee Details](#) of [Registration](#). Provide the details for the two additional fields based on the description in the following table:

Field	Description	Sample Values
Copy Existing Undertaking	Select any existing undertaking to be copied, if required.	

Field	Description	Sample Values
Template name	This is applicable only for the non-online Guarantee Issuance request. This option allows user to select a template if the applicant details are already captured and the data can be reused with the template to reduce the effort. The details pertaining to the subsequent screens in scrutiny and data enrichment screens will be persisted and populated when you move to the relevant screens. Before populating the screens, application will check if there are any existing values and will display an alert message ' Value exist already in few fields - Do you want to use the template - Yes/No'. If the you click on Yes the existing details will be over-written with the template values. Click the look up icon to search the Template code with Template Code or Template Description. 	
View Guarantee/ SBLC	Click View to view the details of the selected template in Template Name.	
Use	Click Use to use the selected template in Template Name.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Customer Instructions	Click to view/ input the following • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Incoming Message	This button displays the multiple messages (MT760 + up to 7 MT761). Click to allow parsing of MT 760 along with MT761(up to 7) messages together to create a Guarantee Issuance. In case of MT798, the User can click and view the MT798 message(784,760/761).	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	

Field	Description	Sample Values
Cancel	Cancel the Guarantee Issuance scrutiny stage inputs.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Refer	On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Reject	On click of Reject, user must select a reject reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a reject description. This reject reason will be available in the remarks window throughout the process.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Guarantee Preferences

ORACLE My Tasks (DEFAULTTENITY) (PK2) May 6, 2019 SRIDHAR02 subham@gmail.com

Guarantee Issuance - Scrutiny :: Application No: PK2GTEI000042578

Clarification Details Overrides Transaction Log

Main Guarantee Preferences Local Guarantee Additional Details Summary

Guarantee Preferences

Preferences

77U - Terms and Conditions 44H - Governing Law and Jurisdiction

Automatic Extension Details

Automatic Extension Req'd 23F - Auto Extension Period One Year Extension Details 78 - Non Extension Details

26E - Non Extension Notice Period 31S - Auto Extension Final Expiry Date Apr 27, 2021

Liability Change Schedule

Liability Change Type Additional Details Standard Type Liability Type

Number of Periods Units Amount Percentage

GBP /

Generate Schedule

Sequence Number	Scheduled Date	Amount	Percentage	Liability Type	Action
No data to display.					

Page 1 (0 of 0 items)

Demand Indicator

48B - Demand Indicator

Underlying Transaction Details

45L - Underlying Transaction Details

Delivery of Original Undertaking

24E - Delivery of Original Undertaking 24E - Narrative 24G - Delivery to/ Collection by 24G - Narrative

Transfer Details

48D - Transfer Indicator 39E - Transfer Conditions

Others

72Z - Sender to Receiver Information 71D - Charges 57A - Advise Through Bank 41a-Available with

49 - Confirmation Instruction 58A - Requested Confirmation Party Confirming Bank

Audit Request Clarification Reject Refer Hold Cancel Save & Close Back Next

Preferences

Provide the preferences details based on the description in the following table:

Field	Description	Sample Values
Terms and Conditions	Select the terms and conditions from the LOV that are not already mentioned. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760. The field displays the content from MT760 and all the applicable MT 761.	

Field	Description	Sample Values
Governing Law and Jurisdiction	Select the applicable governing law and jurisdiction for the undertaking. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	

Automatic Extension Details

Provide the Automatic Extension Details based on the description in the following table:

Field	Description	Sample Values
Automatic Extension Required	Toggle On: Set the toggle On, if automatic extension for expiry date is required. Toggle Off: Set the toggle Off, if automatic extension for expiry date is not required. Note: This field is not applicable Validity field in registration stage has value as Open. If the Guarantee Issuance is at Counter Issuing Bank (CIB), this button is enabled if 23F field has value. If the Guarantee Issuance is at Local Issuing Bank (LIB), this button is enabled if 23F field has value.	
Auto Extension Period	Select the auto extension period for expiry date from the following options: • Days • One year • Others Note This field is applicable only if Auto Extension Required toggle is set to On. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	

Field	Description	Sample Values
Extension Details	Provide the extension details for the expiry date.  Note This field is applicable only if Auto Extension Period field value is Days/ Others. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	
Non-Extension Details	Provide the non-extension details for automatic expiry date extension such as notification methods or notification recipient details.  Note This field is applicable only if Auto Extension Period field has values. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	
Non-Extension Notice Period	Provide the non-extension notice days.  Note This field is applicable only if Auto Extension Period field has values. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	

Field	Description	Sample Values
Auto Extension Final Expiry Date	Provide the final extension date for automatic expiry date extension after which no automatic extension is allowed.  Note This field is applicable only if Auto Extension Period field has values. If Automatic Extension Required toggle is set to Yes, the user can manually enter the value. This date/duration can be beyond the calculated value provided in the “Auto Extension Period”. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	

Liability Change Schedule

In this section, user can enter the details of increase or decrease of liability on a pre-scheduled date as applicable.

Provide the **Liability Change Schedule** based on the description in the following table:

Field	Description	Sample Values
Liability Change Type	Select the liability change type. This field describes the basis for liability change. Values are: • Event Based - User can enter the Event details in “Additional Details” field. The actual liability change for Event based type should be operationally handled by the user based on the event details • Time Based - The liability change should happen automatically on the pre-scheduled date as given.	
Additional Details	This field describes whether Increase or decrease of liability or both are involved.	
Standard Type	This field describes whether liability change is standard or non-standard.	
Liability Type	This field describes whether Increase or decrease of liability or both are involved.	
Number of Periods	User can enter the numeric value of the period corresponding to the units.	

Field	Description	Sample Values
Units	User can select the value from drop down. Values are: • Monthly • Quarterly • Half Yearly • Yearly	
Amount	User can enter the Liability Amount that should be increased or decreased on the liability change date.	
Percentage	User can either enter the amount of liability to be changed or percentage of liability to be changed. If percentage is chosen, then system should calculate the equivalent amount of liability to be changed.	
Schedule Grid	If the liability change is for more than one date, or if the liability change is not based on time, user can input the details in the schedule grid.	
Sequence Number	Serial number of the liability change.	
Scheduled Date	User can enter the date on which liability change to happen.	
Amount	User can enter the Liability Amount that should be increased or decreased on the liability change date.	
Percentage	The user can either enter the amount of liability to be changed or percentage of liability to be changed. If percentage is chosen, then system should calculate the equivalent amount of liability to be changed.	
Liability Type	This field describes whether Increase or decrease of liability or both are involved.	
Plus Icon 	Click plus icon to add a new row to input the liability change details in the grid.	
Minus Icon 	Click minus icon to remove any existing grid Details.	

Demand Indicator

Field	Description	Sample Values
Demand Indicator	This field specifies whether partial and/or multiple demands are not permitted. Select the demand indicator from the following options: • Multiple demands are not permitted - Partial amount can be claimed • Partial demands are not permitted - Entire amount can be claimed • Multiple and partial demands are not permitted - Entire amount can be claimed If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	

Underlying Transaction Details

Field	Description	Sample Values
Underlying Transaction Details	Select the underlying business transaction details (for which the undertaking is issued) from the LOV. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	

Delivery of Original Undertaking

Field	Description	Sample Values
Delivery of Original Undertaking	Select the method of the delivery from the following options by which the original local undertaking needs to be delivered: • COLL - By Collection • COUR - By Courier • MAIL - By Mail • MESS - By Messenger - Hand Deliver • OTHR - Other Method  Note This field is not applicable, if Purpose of Message field value is ICCO/ISCO. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	
Narrative	Provide the description of method of delivery of original undertaking.  Note This field is applicable only if the Delivery of Original Undertaking field value is COUR/OTHR. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	

Field	Description	Sample Values
Delivery to/Collection by	Select the details of to whom the original local undertaking is to be delivered or by whom the original local undertaking is to be collected: • BENE - Beneficiary • OTHR - Others  Note This field is not applicable, if Purpose of Message field value is ICCO/ISCO. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	
Narrative	Provide the name and address.  Note This field is applicable only if the Delivery to/Collection by field value is OTHR. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	

Transfer Details

Field	Description	Sample Values
Transfer Indicator	Select the check box if the undertaking is transferable. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	
Transfer Conditions	Provide the conditions to transfer the undertaking.  Note This field is applicable only if the Transfer Conditions check box is checked. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	

Others

Field	Description	Sample Values
Sender to Receiver Information	Select the additional information for receiver from the LOV. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	
Charges	Select the charger for the undertaking from the LOV. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	

Field	Description	Sample Values
Advice Through Bank	Select the additional bank to advise the undertaking from the LOV.  Note This field is applicable only if Advice Through Bank field in Main Details has value.	
Available With	This field identifies the bank with which the credit is available of the issued LC. User must capture the bank details or any free text. Search the bank with SWIFT code (BIC) or Bank Name. On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address gets defaulted. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	
Confirmation Instructions	Select the confirmation instruction from the available values: - CONFIRM - MAY ADD - WITHOUT  Note This field is applicable if the Form of Undertaking is STBY - Standby LC. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	

Field	Description	Sample Values
Requested Confirmation Party	Select the requested confirmation party from the available options: • Advising Bank • Advise Through Bank • Others  Note This field is applicable if the Confirmation Instructions is Confirm or May Add. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	
Confirming Bank	Select the Confirming Bank from the LOV.  Note This field is applicable if the Requested Confirmation Party value is Others.	

Acknowledgement Details

An acknowledgment in format MT 768 is sent by advising bank to the issuing for Guarantee. The user can input the details required for issuing bank as part of MT 768. The outgoing MT 798 should display the 761 as applicable.

Acknowledgement Details

Screen

MT 768 Guarantee Acknowledgment

Issuing Bank Reference 1111	25 Account Identification PK20064460016	30 Date of Acknowledgement 	Charges to be Claimed
57a - Account with Bank 	71 D Charges test	72-Sender to Receiver Information 	79 Z Narrative

Provide the Acknowledgment Details based on the information in the following table:

Field	Description	Sample Values
Issuing Bank Reference	This field specifies the issuing bank reference.	
Account Identification	This field identifies, where necessary, the account which is used for settlement of charges.	

Field	Description	Sample Values
Date of Acknowledgement	This field specifies the date on which the message being acknowledged was sent. System displays the Branch Date. If the task is approved on a later date, then the date should be updated as the branch date as on approval.	
Charges to be Claimed	This field specifies the currency code and total amount of charges claimed by the advising bank. If the Sender services an account for the Receiver in the currency of the charges, and this System should default the Date of Debit as the branch date as on approval.	
Account with Bank	This field specifies the bank at which the Sender wishes to receive credit for charges claimed.	
Charges	The user should be able to input more details about the charges	
Sender to Receiver Information	This field should be available for the user to enter any additional information the advising bank sends to the Issuing bank. This can be maintained as an FFT	
Narrative	Narrative if applicable.	
File Identification	This field is used to identify the delivery channel. This is applicable only from 1 Nov 2021.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Scrutiny Stage Inputs.	

Field	Description	Sample Values
Refer	On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Local Guarantee

Guarantee Issuance - Scrutiny :: Application No: PK2GTEI000061525

Screen (3 / 5)

Local Guarantee

Guarantee Details - Sequence C

22D - Form of Undertaking

Applicant: 001044 GOODCARE PLC

41a - Available with

35G - Expiry Condition/ Event

Auto Extension Details

23F - Automatic Extension Req'd

26E - Non Extension Notice Period

Transfer Details

48D - Transfer Indicator

Demand Details

48B - Demand Indicator

Underlying Transaction Details

45L - Underlying Transaction Details

Delivery of Local Undertaking

24E - Delivery of Local Undertaking

77L - Req. Local Undertaking T & C

44H - Governing Law

31C - Requested Issue Date

22Y - Standard Wording Required

23F - Auto Extension Period

315 - Auto Extension Final Expiry Date

39E - Transfer Conditions

24E - Narrative

40C - Applicable Rules

Beneficiary

238 - Expiry Type

40D - Guarantee Language

22K - Type of Undertaking

32B - Undertaking Amount: USD US\$1,200.00

Expiry Date

Documents and Presentation Instructions

78 - Non Extension Details

24G - Narrative

Reject Refer Hold Cancel Save & Close Back Next

User must provide Local Guarantee (Sequence C) details. Values from [Guarantee Preferences](#) (Sequence B) and [Main Details](#) will get defaulted to few of fields in this section. Provide the details based on the description in the following table:

Field	Description	Sample Values
Form of Undertaking	Read only field. The value will get defaulted from Main Details. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	
Req. Local Undertaking T & C	Enter the terms and conditions. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is populated from Incoming MT 760. User can modify this field. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is populated from Incoming MT 760. User can modify this field. This field displays the content from MT760 and all applicable MT761.	

Field	Description	Sample Values
Applicable Rules	Read only field. The value will get defaulted from Main Details. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	
Type of Undertaking	Read only field. The value will get defaulted from Main Details. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	
Applicant	Read only field. The value will get defaulted from Main Details. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	
Governing Law and Jurisdiction	Select the applicable governing law and jurisdiction for the undertaking. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	
Beneficiary	Read only field. The value will get defaulted from Main Details. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	

Field	Description	Sample Values
Undertaking Amount	Read only field. The value will get defaulted from Main Details. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	
Available With	Read only field. The value will get defaulted from Guarantee Preferences. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	
Requested Issue Date	Provide the date on or by which the requested local undertaking must be issued. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	
Expiry Type	This field indicates whether undertaking has specified expiry date or is open-ended. Select the applicable value from the available options: • COND - Conditional Expiry • FIXD - Specified expiry date (with/without automatic expansion) • OPEN - No specific date of expiry If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	

Field	Description	Sample Values
Expiry Date	Provide the expiry date. This field is applicable only if Expiry Type field has value Fixed. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	
Expiry Event	Read only field. The value will get defaulted from Main Details. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	
Standard Wording Required	Select the check box if standard wording is required for the terms and conditions. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	
Guarantee Language	Select the guarantee language from the LOV. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is populated from Incoming MT 760. User can modify the field. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is populated from Incoming MT 760. User can modify the field.	
Documents and Presentation Instructions	Select the documents and presentation instructions from the LOV. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	

Automatic Extension Details

Auto extension details is applicable only if it is enabled in [Guarantee Preferences](#). The values will be defaulted from [Guarantee Preferences](#) and can be amended, if required. Provide the Automatic Extension Details based on the description in the following table:

Field	Description	Sample Values
Automatic Extension Required	Toggle On: Set the toggle On, if automatic extension for expiry date is required. Toggle Off: Set the toggle Off, if automatic extension for expiry date is not required. Note: This field is not applicable Validity field in registration stage has value as Open. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	
Auto Extension Period	Select the auto extension period for expiry date from the following options: • Days • One year • Others  Note This field is applicable only if Auto Extension Required toggle is set to On. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	
Extension Details	 Note This field is applicable only if Auto Extension Period field value is Days/ Others. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is populated from Incoming MT 760. User can modify this field. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is populated from Incoming MT 760. User can modify this field.	

Field	Description	Sample Values
Non-Extension Notice	Provide the non-extension notice days.  Note This field is applicable only if Auto Extension Period field has values. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is populated from Incoming MT 760. User can modify this field. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is populated from Incoming MT 760. User can modify this field.	
Non-Extension Details	Provide the non-extension details for automatic expiry date extension such as notification methods or notification recipient details.  Note This field is applicable only if Auto Extension Period field has values. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is populated from Incoming MT 760. User can modify this field. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is populated from Incoming MT 760. User can modify this field.	
Auto Extension Final Expiry Date	Provide the final extension date for automatic expiry date extension after which no automatic extension is allowed.  Note This field is applicable only if Auto Extension Period field has values. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is populated from Incoming MT 760. User can modify this field. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is populated from Incoming MT 760. User can modify this field.	

Demand Details

Field	Description	Sample Values
Demand Indicator	Read only field. The value will get defaulted from Guarantee Preferences. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	

Underlying Transaction Details

Field	Description	Sample Values
Underlying Transaction Details	Select the underlying business transaction details (for which the undertaking is issued) from the LOV. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	

Delivery of Original Undertaking

Field	Description	Sample Values
Delivery of Original Undertaking	Select the method of the delivery from the following options by which the original local undertaking needs to be delivered: • COLL - By Collection • COUR - By Courier • MAIL - By Mail • MESS - By Messenger - Hand Deliver • OTHR - Other Method  Note This field is not applicable, if Purpose of Message field value is ICCO/ISCO. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	

Field	Description	Sample Values
Narrative	Provide the description of method of delivery of original undertaking.  Note This field is applicable only if the Delivery of Original Undertaking field value is COUR/OTHR. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	
Delivery to/Collection by	Select the details of to whom the original local undertaking is to be delivered or by whom the original local undertaking is to be collected: • BENE - Beneficiary • OTHR - Others  Note This field is not applicable, if Purpose of Message field value is ICCO/ISCO. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	
Narrative	Provide the name and address.  Note This field is applicable only if the Delivery to/Collection by field value is OTHR. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	

Transfer Details

Field	Description	Sample Values
Transfer Indicator	Read only field. The value will get defaulted from Guarantee Preferences .	
Transfer Conditions	Read only field. The value will get defaulted from Guarantee Preferences .	

Additional Details

Guarantee Issuance - Scrutiny :: Application No: PK1GTEI000003520

Main
Guarantee Preferences
Local Guarantee
Additional Details
Summary

Additional Details

Limit & Collateral

Limit Currency :
Limit Contribution :
Limit Status :
Collateral Currency :
Collateral :
Contribution :
Collateral Status :

Charge Details

Charge :
Commission :
Tax :
Block Status :

Audit
Reject Refer Hold Cancel Save & Close Back Next

Screen (4 / 5)

Limits & Collateral

If the Guarantee Issuance is at Counter Issuing Bank (CIB), the user can enter the details.

On Approval, system should not release the Earmarking against each limit line and system should handoff the "Limit Earmark Reference Number" to the back office. On successful handoff, back office will make use of these "Limit Earmark Reference Number" to release the Limit Earmark done in the mid office (OBTFFPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.

If the Guarantee Issuance is at Local Issuing Bank (LIB), the user can enter the details.

Limits and Collaterals

Limit Details

	Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message	Edit	Delete
	000327		100	USD	\$100.00			000327	

Cash Collateral Details

Collateral Percentage *

67.0

Collateral Currency and amount

USD

\$67.00

Exchange Rate

1

Sequence Number	Settlement Account Currency	Settlement Account	Exchange Rate	Collateral %	Contribution Amount	Contribution Amount in Account Currency	Account Balance Check Response	Response
1	GBP	PK1000327018	1.3	100	\$67.00	0	VS	The arr can be

Deposit Linkage Details

	Deposit Account	Deposit Currency	Deposit Maturity Date	Transaction Currency	Deposit Available In Transaction Currency	Linkage Amount(Transaction Currency)	Edit	Delete
	PK2CDP1210860501	GBP	2022-03-27	GBP	199100		\$990.00	PK2CDP1210860501

Page 1 of 1 (1 of 1 items)

Provide the Limit Details based on the description in the following table:

Limit Details

Customer Id

001044

Line ID *

001044_GB

Contribution % *

100.0

Limits Description

Contribution Currency

GBP

Contribution Amount *

£9,000.00

Limit Currency

GBP

Limit Available Amount

£9,99,999.00

Limit Check Response

Available

Response Message

The Earmark can be performed as the f

Expiry Date

24-Dec-2020

Verify

Save & Close

Close

Field	Description	Sample Values
Plus Icon 	Click plus icon to add new Limit Details.	
Limit Details Click + plus icon to add new limit details. Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon.		
Customer ID	Applicant's/Applicant Bank customer ID will get defaulted.	

Field	Description	Sample Values
Line ID	User can choose from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.  Note User can also select expired Line ID from the lookup and on clicking the verify button, system should default “The Earmarking cannot be performed as the Line ID is Expired” in the “Response Message” field.	
Contribution %	System will default this to 100% and user can modify. System will display an alert message, if modified. Once contribution % is provided, system will default the amount. System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.	
Contribution Currency	The guarantee currency will be defaulted in this field.	
Contribution Amount	Contribution amount will default based on the contribution %.	
Limit Currency	Limit Currency will be defaulted in this field.	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount.	
Limit Check Response	Response can be 'Success' or 'Limit not Available'.	
Response Message	Detailed Response message.	
Expiry Date	This field displays the date up to which the Line is valid	

Provide the collateral details based on the description provided in the following table:

Collateral Details

Total Collateral Amount *

\$67.00

Sequence Number

2.0

Collateral Contribution Amount *

\$67.00

Settlement Account Currency

GBP

Contribution Amount in Account Currency

£0.00

Response

VS

Verify

Collateral Amount to be Collected *

\$0.00

Collateral Split % *

100.0

▼▲

Settlement Account *

PK1000327018

🔍

Exchange Rate

1.3

▼▲

Account Available Amount

£99,999,393,343.91

Response Message

The amount block can be performed as

✓ Save & Close

✕ Cancel

Field	Description	Sample Values
Cash Collateral Details		
Collateral Percentage	Specify the percentage of collateral to be linked to this transaction.	
Collateral Currency and amount	System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount.	
Exchange Rate	System populates the exchange rate maintained. User can modify the collateral Currency and amount. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified.	
Click + plus icon to add new collateral details.		
Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.		
Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.	
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.	
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.	

Field	Description	Sample Values
Collateral Split %	Specify the collateral split% to be collected against the selected settlement account.	
Settlement Account	Select the settlement account for the collateral.	
Settlement Account Currency	Select the Settlement Account Currency.	
Exchange Rate	Read only field. This field displays the exchange rate, if the settlement account currency is different from the collateral currency.	
Contribution Amount in Account Currency	Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system.	
Account Available Amount	Read only field. Account available amount will be auto-populated based on the Settlement Account selection.	
Response	Response can be 'Success' or 'Amount not Available'. System populates the response on clicking the Verify button.	
Response Message	Detailed Response message. System populates the response on clicking the Verify button.	
Verify	Click to verify the account balance of the Settlement Account.	
Save & Close	Click to save and close the record.	
Cancel	Click to cancel the entry.	

Below fields appear in the **Cash Collateral Details** grid along with the above fields.

Collateral %	User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message. System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified".	
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Field	Description	Sample Values
Collateral Contribution Amount	Collateral contribution amount will get defaulted in this field. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.	
Account Balance Check Response	This field displays the account balance check response.	
Delete Icon 	Click minus icon to remove any existing Collateral Details.	
Edit Link	Click edit link to edit any existing Collateral Details.	

Deposit Linkage Details

In this section which the deposit linkage details should be captured.

Deposit Linkage Details

☐	Deposit Account	Deposit Currency	Deposit Maturity Date	Transaction Currency	Deposit Available In Transaction Currency	Linkage Amount(Transaction Currency)	Edit	Delete	
No data to display.									
Page 1 (0 of 0 items)   									

Deposit Linkage Details

Deposit Account*

PK20010440017

Deposit Currency

GBP

Deposit Maturity Date

Jan 1, 2016

Transaction Currency

USD

Deposit Available in Transaction Currency

Deposit Branch

PK2

Deposit Available Amount

Exchange Rate

Linkage Amount (Transaction Currency)*

\$220,000.00

Save & Close

Cancel

Field	Description	Sample Values
Deposit Account	All the Deposits of the customer should be listed in the LOV search. User should be able to select the deposit for linkage.	
Deposit Branch	Branch will be auto populated based on the Deposit Account selection.	
Deposit Currency	The currency will get defaulted in this field.	
Deposit Available Amount	Amount will be auto-populated based on the Deposit Account selection.	
Deposit Maturity Date	Maturity Date of Deposit to be displayed.	
Transaction Currency	The currency will get defaulted in this field from the underlying task.	
Exchange Rate	Latest Exchange Rate for deposit linkage should be displayed. This will be picked up from the exchange rate maintenance from the common core.	
Deposit Available in Transaction Currency	Deposit amount available should be displayed after exchange rate conversion, if applicable.	
Linkage Amount (Transaction Currency):	System to default the transaction amount user can change the value.	

Commission, Charges and Taxes Details

After payment, click on **Default Charges** button to the default commission, charges and tax if any will get populated.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

If the Guarantee Issuance is at Counter Issuing Bank (CIB), charges are simulated from back office, user can change the details.

If the Guarantee Issuance is at Local Issuing Bank (LIB), charges are simulated from back office, user can change the details.

Commission,Charges and Taxes ×

Recalculate

Redefault

Commission Details

Event

Event Description

Component	Rate	Modified Rate	Currency	Amount	Modified	Defer	Waive	Charge Party	Settlement Account
No data to display.									
Page 1 (0 of 0 items) < 1 >									

Charge Details

Component	Tag currency	Tag Amount	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
No data to display.										
Page 1 (0 of 0 items) < 1 >										

Tax Details

Component	Type	Value Date	Currency	Amount	Billing	Defer	Settlement Account

Save & Close

Cancel

Provide the Commission Details based on the description provided in the following table:

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	
Component	Select the commission component	
Rate	Defaults from product. User can change the rate, if required.	
Modified Rate	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Currency	Defaults the currency in which the commission needs to be collected	
Amount	An amount that is maintained under the product code defaults in this field. User can modify the value, if required.	

Field	Description	Sample Values
Modified Amount	User can enter a new amount in 'Modified amount' field. This will be the new charge for the modified component.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	
Waive	Select the check box to waive charges/ commission. Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary.	
Settlement Account	Details of the Settlement Account.	

Charge Details

Provide the Charge Details based on the description provided in the following table:

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified Amount	User can enter a new amount in 'Modified amount' field. This will be the new charge for the modified component.	

Field	Description	Sample Values
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM. The user can not select/de-select the check box if it is de-selected by default. This field is disabled, if 'Defer' toggle is enabled.	
Defer	If charges have to be deferred and collected at any future step, this check box has to be selected. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM. The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Waive	If charges have to be waived, this check box has to be selected. Based on the customer maintenance, the charges should be marked for Billing or for Defer. This field is disabled, if 'Defer' toggle is enabled.	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	
Settlement Account	Details of the settlement account.	

Tax Details

The tax component defaults if maintained in the product level. Tax detail cannot be updated by you and any change in Tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Following Tax Details will be displayed:

Field	Description	Sample Values
Component	Tax Component type.	
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	

Field	Description	Sample Values
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. This field is disabled, if 'Defer' toggle is enabled.	
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settlement Account	Details of the settlement account.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Scrutiny Stage Inputs.	

Field	Description	Sample Values
Refer	On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Reject	On click of Reject, user must select a reject reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a reject description. This reject reason will be available in the remarks window throughout the process.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Summary

User can review the summary of details updated in scrutiny Guarantee Issuance request.

The summary tiles displays a list of important fields with values. User must be also able to drill down from summary tiles into respective data segments.

Guarantee Issuance - Scrutiny :: Application No: PK1GTEI000003520

Summary

Screen (5 / 5)

Main	Guarantee Preferences	Local Guarantee	Limits and Collaterals
SBLC/Guarantee Type : APAY Submission Mode : Desk Date of Issue : 2021-05-05	Collection by : Delivery of Original : Amendment :	Collection by : Delivery of Original : Amendment :	Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : Collateral Contr. : Collateral Status : Not Verified

Commission,Charges and Taxes
Charge : Commission : Tax : Block Status : Not Initia

Audit

Reject Refer Hold Cancel Save & Close Back Next Submit

Tiles Displayed in Summary

- Main Details - User can view application details and Guarantee details.
- Guarantee Preferences - User can view the guarantee preferences.
- Local Guarantee - User can view the local guarantee.
- Party Details - User can view party details like beneficiary, advising bank etc.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Submit	Task will get moved to next logical stage of Guarantee Issuance. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	

Field	Description	Sample Values
Cancel	Cancel the Scrutiny Stage Inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Refer	On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Reject	On click of Reject, user must select a reject reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a reject description. This reject reason will be available in the remarks window throughout the process.	

Data Enrichment

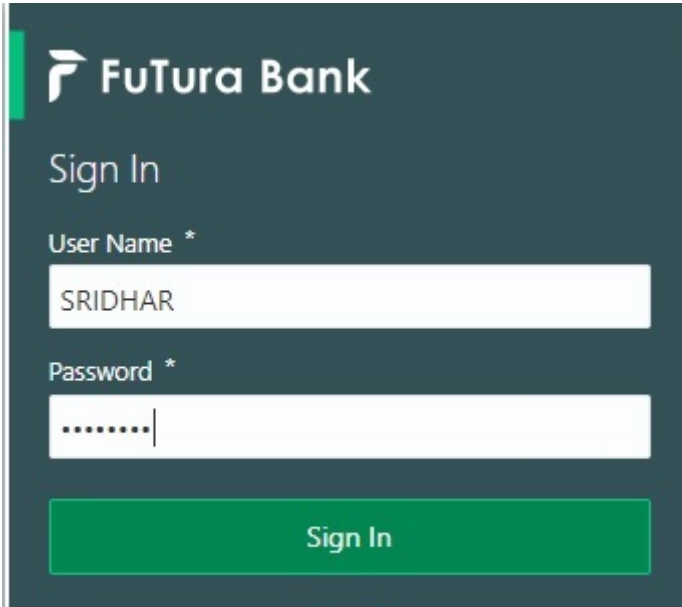
As part of data enrichment, you can enter/update basic details of the incoming request.



For expired line of limits, the task moves to “Limit Exception” stage under Free Tasks, on ‘Submit’ of DE Stage with the reason for exception as “Limit Expired”.

Do the following steps to acquire a task which completed the registration and scrutiny and currently at Data enrichment stage:

1. Using the entitled login credentials for scrutiny stage, login to the OBTFPM application.



FuTura Bank

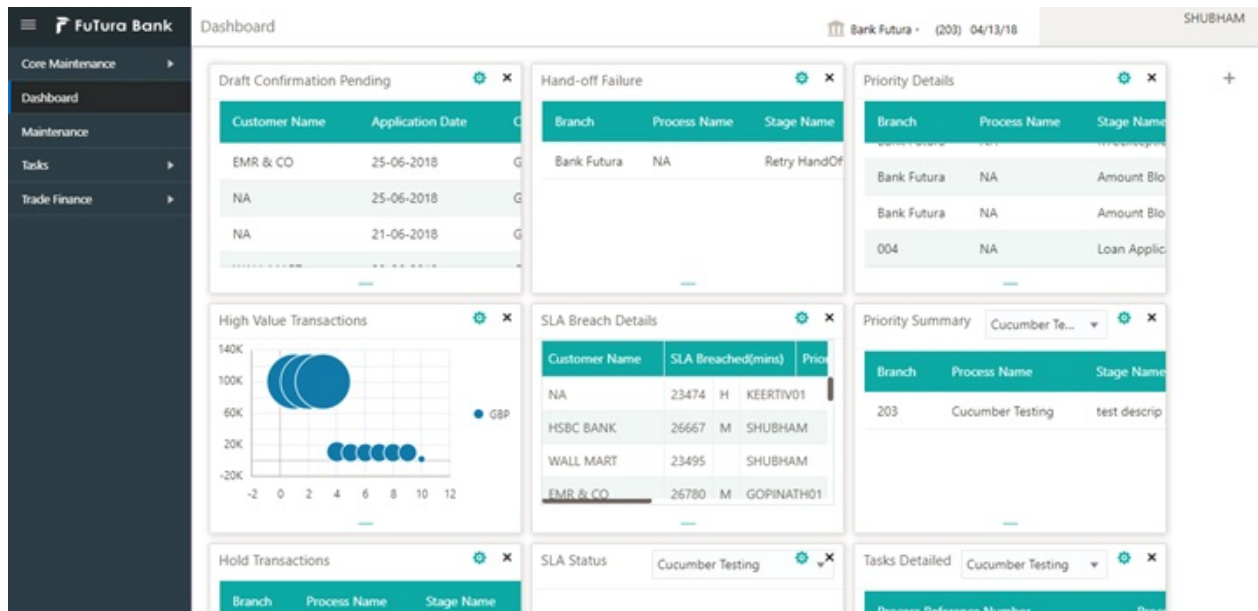
Sign In

User Name *
SRIDHAR

Password *
.....

Sign In

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



FuTura Bank Dashboard

Bank Futura - (203) 04/13/18 SHUBHAM

Draft Confirmation Pending

Customer Name	Application Date	Stage Name
EMR & CO	25-06-2018	G
NA	25-06-2018	G
NA	21-06-2018	G

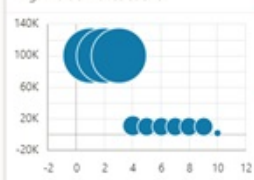
Hand-off Failure

Branch	Process Name	Stage Name
Bank Futura	NA	Retry HandOf

Priority Details

Branch	Process Name	Stage Name
Bank Futura	NA	Amount Blo
Bank Futura	NA	Amount Blo
004	NA	Loan Applic

High Value Transactions



SLA Breach Details

Customer Name	SLA Breached(mins)	Prio
NA	23474	H KEERTIV01
HSBC BANK	26667	M SHUBHAM
WALL MART	23495	SHUBHAM
EMR & CO	26780	M GOPINATH01

Priority Summary

Branch	Process Name	Stage Name
203	Cucumber Testing	test descrip

Hold Transactions

Branch	Process Name	Stage Name
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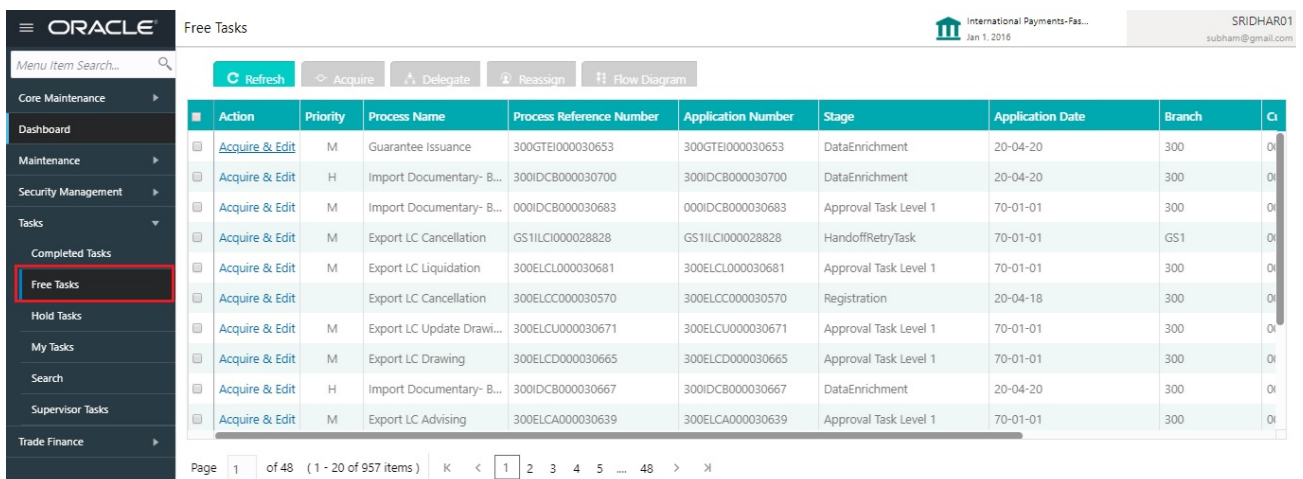
SLA Status

Cucumber Testing

Tasks Detailed

Process Reference Number	Proce
--------------------------	-------

3. Click **Trade Finance> Tasks> Free Tasks**.



ORACLE Free Tasks

International Payments-Pas... Jan 1, 2016 SRIDHAR01 subham@gmail.com

Free Tasks

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	C
Acquire & Edit	M	Guarantee Issuance	300GTEI000030653	300GTEI000030653	DataEnrichment	20-04-20	300	0i
Acquire & Edit	H	Import Documentary- B...	300IDCB000030700	300IDCB000030700	DataEnrichment	20-04-20	300	0i
Acquire & Edit	M	Import Documentary- B...	000IDCB000030683	000IDCB000030683	Approval Task Level 1	70-01-01	300	0i
Acquire & Edit	M	Export LC Cancellation	GS1ILCI000028828	GS1ILCI000028828	HandoffRetryTask	70-01-01	GS1	0i
Acquire & Edit	M	Export LC Liquidation	300ELCL000030681	300ELCL000030681	Approval Task Level 1	70-01-01	300	0i
Acquire & Edit	M	Export LC Cancellation	300ELCC000030570	300ELCC000030570	Registration	20-04-18	300	0i
Acquire & Edit	M	Export LC Update Drawi...	300ELCU000030671	300ELCU000030671	Approval Task Level 1	70-01-01	300	0i
Acquire & Edit	M	Export LC Drawing	300ELCD000030665	300ELCD000030665	Approval Task Level 1	70-01-01	300	0i
Acquire & Edit	H	Import Documentary- B...	300IDCB000030667	300IDCB000030667	DataEnrichment	20-04-20	300	0i
Acquire & Edit	M	Export LC Advising	300ELCA000030639	300ELCA000030639	Approval Task Level 1	70-01-01	300	0i

Page 1 of 48 (1 - 20 of 957 items) K < 1 2 3 4 5 ... 48 > X

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

Free Tasks

Menu Item Search...

Core Maintenance Dashboard Maintenance Security Management Tasks Completed Tasks Free Tasks Hold Tasks My Tasks Search Supervisor Tasks Trade Finance

Refresh Acquire Delegate Reassign Flow Diagram

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	
Acquire & Edit	M	Guarantee Issuance	300GTEI000030653	300GTEI000030653	Scrutiny	20-04-20	300	OK
Acquire & Edit	M	Export Documentary Li...	300EDCL000030634	300EDCL000030634	Approval Task Level 1	70-01-01	300	OK
Acquire & Edit	M	Import Documentary Li...	300IDCL000030640	300IDCL000030640	Approval Task Level 1	70-01-01	300	OK
Acquire & Edit	M	Export Documentary- B...	300EDCB000029491	300EDCB000029491	DataEnrichment	70-01-01	300	OK
Acquire & Edit	M	Export Documentary- B...	300EDCB000029489	300EDCB000029489	DataEnrichment	70-01-01	300	OK
Acquire & Edit	M	Export Documentary- B...	300EDCB000029490	300EDCB000029490	Registration	70-01-01	300	OK
Acquire & Edit	M	Export Documentary- B...	300EDCB000029487	300EDCB000029487	DataEnrichment	70-01-01	300	OK
Acquire & Edit	M	Export Documentary- B...	300EDCB000029488	300EDCB000029488	Registration	70-01-01	300	OK
Acquire & Edit	M	Export Documentary- B...	300EDCB000029486	300EDCB000029486	Registration	70-01-01	300	OK
Acquire & Edit	M	Guarantee Issuance	300GTEI000029484	300GTEI000029484	Registration	70-01-01	000	OK

Page 1 of 48 (1 - 20 of 948 items) K < 1 2 3 4 5 ... 48 > X

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for data enrichment stage.

My Tasks

Menu Item Search...

Core Maintenance Dashboard Maintenance Security Management Tasks Completed Tasks Free Tasks Hold Tasks My Tasks Search Supervisor Tasks Trade Finance

Refresh Release Flow Diagram

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer
Edit	M	Guarantee Issuance	300GTEI000030653	300GTEI000030653	DataEnrichment	20-04-20	300	001506

Page 1 of 1 (1 - 1 of 1 items) K < 1 > X

The Data Enrichment stage has five sections as follows:

- Main Details
- Guarantee Preferences
- Documents and Instructions
- Local Guarantee
- Additional Fields
- Advices
- Additional Details
- Settlement Details
- Summary

Main Details

Refer to [Main Details](#).

Guarantee Preferences

Refer to [Guarantee Preferences](#).

Document Details

Documents maintained at the product level will be populated and user must be able to update the details if required.

ORACLE

My Tasks

International Payments-Pas...

SRIDHAR01

subham@gmail.com

Guarantee Issuance - DataEnrichment :: Application No: 300GTEI000030653

Documents

Remarks

Screen (3 / 8)

Main

Guarantee Preferences

Documents and Instructions

Local Guarantee

Additional Fields

Advices

Additional Details

Summary

Documents and Instructions

Documents Required

Select	Code	Name	Copy	Original	Description
☐	INVOICE	INVOICE			SIGNED COMMERCIAL INVOICE IN COPIES INDICATING T

Audit

Reject

Refer

Hold

Cancel

Save & Close

Back

Next

Local Guarantee

Refer to [Local Guarantee](#).

Additional Fields

Banks can configure these additional fields during implementation.

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My Tasks

FLEXCUBE UNIVERSAL BAN...

SRIDHAR01

subham@gmail.com

Guarantee Issuance - DataEnrichment :: Application No: 300GTEI000030653

Documents

Remarks

Screen (5 / 8)

Main

Guarantee Preferences

Documents and Instructions

Local Guarantee

Additional Fields

Advices

Additional Details

Summary

Additional Fields

Additional Fields

No Additional fields configured!

Audit

Reject

Refer

Hold

Cancel

Save & Close

Back

Next

Advices

Advices menu displays the advices from the back office as tiles. User can edit the fields in the tile, if required.

If the Guarantee Issuance is at Counter Issuing Bank (CIB) - Guarantee Instrument (MT 760), Acknowledgment (MT 768)

If the Guarantee Issuance is at Local Issuing Bank (LIB) - Guarantee Instrument - Mail Advice, Acknowledgment (MT 768)

The screenshot shows the Oracle Advices menu. The left sidebar contains a navigation menu with options: Main, Guarantee Preferences, Additional Fields, Local Guarantee, Advices (selected), Additional Details, and Summary. The main area displays two advice tiles. The first tile is for 'Advice : GUARANTEE' with details: Advice Name : GUARANTEE, Advice Party : ABK, Party Name : HSBC Bank, Suppress : NO, and Advice. The second tile is for 'Advice : PAYMENT_MESSAGE' with details: Advice Name : PAYMENT_MESSAGE, Advice Party : , Party Name : , Suppress : NO, and Advice. The top of the screen shows the Oracle logo, 'Free Tasks', and user information: (PK2) May 6, 2019, SRIDHAKUZ, subham@gmail.com. The bottom of the screen has a navigation bar with buttons: Audit, Request Clarification, Reject, Refer, Hold, Cancel, Save & Close, Back, and Next.

The user can also suppress the Advice, if required.

The screenshot shows the Oracle Advice Details form. The form has a title bar 'Advice Details' with a close button. The main content area is divided into sections. The 'Advice Details' section contains a 'Suppress Advice' toggle switch, 'Advice Name' (GUARANTEE), 'Medium' (empty), 'Advice Party' (ABK), 'Party ID' (006218), and 'Party Name' (HSBC Bank). The 'FFT Code' section contains a table with columns 'Select', 'FFT Code', and 'FFT Description'. The table has two rows: one for 'GUARANTEE' with description 'Details of Guarantee' and one for 'SND2RECM760'. The 'Instructions' section contains 'OK' and 'Cancel' buttons.

Field	Description	Sample Values
Suppress Advice	Toggle on: Switch on the toggle if advice is suppressed. Toggle off: Switch off the toggle if suppress advice is not required for the amendments	

Field	Description	Sample Values
Advice Name	User can select the instruction code as a part of free text.	
Medium	The medium of advices is defaulted from the system. User can update if required.	
Advice Party	Value be defaulted from Guarantee /SBLC Issuance. User can update if required.	
Party ID	Value be defaulted from Guarantee /SBLC Issuance. User can update if required.	
Party Name	Read only field. Value be defaulted from Guarantee /SBLC Issuance.	
Free Format Text		
FFT Code	User can select the FFT code as a part of free text.	
FFT Description	FFT description is populated based on the FFT code selected.	
	Click plus icon to add new FFT code.	
	Click minus icon to remove any existing FFT code.	
Instruction Details		
Instruction Code	User can select the instruction code as a part of free text.	
Instruction Description	Instruction description is populated based on the FFT code selected.	
	Click plus icon to add new instruction code.	
	Click minus icon to remove any existing instruction code.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	Task will get moved to next logical stage of Guarantee Issuance. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Data Enrichment Stage Inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Reject	On click of Reject, user must select a reject reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a reject description. This reject reason will be available in the remarks window throughout the process.	

Additional Details

Guarantee Issuance - DataEnrichment :: Application No: PK1GTEI000003520

Screen (6 / 8)

Limit & Collateral	Charge Details	Preview Message	Create Template
Limit Currency : Limit Contribution : Limit Status : Collateral Currency : Collateral : Contribution : Collateral Status :	Charge : Commission : Tax : Block Status :	Confirmation Req. : Confirm Response : Response Date :	Template Code : -

Audit

Reject Refer Hold Cancel Save & Close Back Next

Refer to [Additional Details](#).

Preview Message

This screen provides preview of draft guarantee details. If required, the draft can be sent for legal verification to legal team and draft confirmation to customer. The system sends Draft MT760 along with up to seven MT761 messages as attachment to the customer.

The Transaction Reference Number is masked, before sending the Draft Import LC for Customer approval.

If the Guarantee Issuance is at Counter Issuing Bank (CIB)/ Local Issuing Bank (LIB), preview message is populated with the outgoing MT760 and all the applicable MT761.

FuTura Bank My Tasks Bank Futura - (203) 04/13/18 SRIDHAR

Guarantee Issuance - Data Enrichment

Screen (3 / 5)

Application :- 203GTEISS000001134

Guarantee Text	Legal Verification	Draft Confirmation
Language English	Legal Verification Required ☐	Draft Confirmation Required ☐
Preview Message	Legal Remarks 	Customer Remarks
	Legal Response Select	Customer Response Select
	verification Date mm/dd/yy	Response Date mm/dd/yy
		Add Recipients

Reject Hold Cancel Save & Close Submit Back Next

Guarantee Text

Select the language to preview the draft guarantee details. Based on the guarantee text captured in the previous screen, guarantee draft is generated in the back office and is displayed in this screen.

Legal Verification

Set the Legal Verification toggle on, if the guarantee message is to be verified and approved by Legal department before issue. The Legal Verification details must be captured in legal verification stage.

Draft Confirmation

Set the Draft Confirmation Required toggle on, if the guarantee message needs to be approved by customer before issue.

Settlement Details

Guarantee Issuance - DataEnrichment :: Application No: PK1GTEI000003016 III III Overrides III Incoming Message Screen (7 / 8)

- Main
- Guarantee Preferences
- Additional Fields
- Local Guarantee
- Advices
- Additional Details
- Settlement Details**
- Summary

Settlement Details

☐ Current Event

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event
AVL_SET_LCAMT			PK1000325025		GBP		N
AVL_SET_LCAMTEQ			PK1000325025		GBP		N
COLLAMT_OSEQ			PK1000325025		GBP		N
COLL_AMNDAMTEQ			PK1000325025		GBP		N
COLL_AMTEQ			PK1000325025		GBP		N
COLL_AMT_DECR			PK1000325025		GBP		N
COLL_AMT_INCR			PK1000325025		GBP		N
COLL_AVALAMTEQ			PK1000325025		GBP		N

AVL_SET_LCAMT - Party Details

Transfer Type

Ordering Institution

Account With Institution

Charge Details

Senders Correspondent

Beneficiary Institution

Netting Indicator

Receivers Correspondent

Ultimate Beneficiary

Ordering Customer

Intermediary Institution

Intermediary Reimbursement Institution

Payment Details

Audit

Reject Refer Hold Cancel Save & Close Back Next

Provide the settlement details based on the description in the following

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Components gets defaulted based on the product selected.	
Currency	System displays the default currency for the component.	
Debit/Credit	System displays the debit/credit indicators for the components.	
Account	System displays the account details for the components.	

Field	Description	Sample Values
Account Description	System displays the description of the selected account.	
Account Currency	System defaults the currency for all the items based on the account number.	
Netting Indicator	System displays the applicable netting indicator.	
Current Event	System displays the current event as Y or N.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	Task will get moved to next logical stage of Guarantee Issuance. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Data Enrichment Stage Inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Reject	On click of Reject, user must select a reject reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a reject description. This reject reason will be available in the remarks window throughout the process.	

Summary

User can review the summary of details updated in Data Enrichment stage Guarantee Issuance request.

The tiles will display a list of important fields with values. User must be also able to drill down from summary Tiles into respective data segments.

Guarantee Issuance - DataEnrichment :: Application No: PK1GTEI00003520

Screen (8 / 8)

Summary

Main	Guarantee Preferences	Additional Fields	Local Guarantee
SBL/Guarantee Type : APAY Submission Mode : Desk Date of Issue : 2021-05-05	Collection by : Delivery of Original : Amendment :	Click here to view : Additional fields :	Collection by : Delivery of Original : Amendment :
Advices	Limits and Collaterals	Commission,Charges and Taxes	Settlement Details
Advice 1 : Advice 2 :	Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : Collateral Contr. : Collateral Status : Not Verified	Charge : Commission : Tax : Block Status : Not Initia	Component : Account Number : Currency :
Accounting Details			
Event : AccountNumber : Branch :			

Audit Reject Refer Hold Cancel Save & Close Back Next Submit

Tiles Displayed in Summary

- Main Details - User can view application details and Guarantee details.
- Guarantee Preferences - User can view the guarantee preferences.
- Documents and Instructions - User can view documents and instructions.
- Local Guarantee - User can view the local guarantee.
- Additional Fields - User can view the additional Fields.
- Advices - User can view the advices
- Party Details - User can view party details like beneficiary, advising bank etc.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details - User can view the accounting entries generated by back office system.



Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message “ Value Date is different from Transaction Date for one or more Accounting entries.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	Task will get moved to next logical stage of Guarantee Issuance. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	

Field	Description	Sample Values
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Data Enrichment stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Reject	On click of Reject, user must select a reject reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a reject description. This reject reason will be available in the remarks window throughout the process.	

Exceptions

The Guarantee Issuance request, before it reaches the approval stage, the application will validate the Amount Block, KYC and AML. If any of these failed in validation will reach exception stage for further clearance for the exceptions.

Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create the block. On hand-off, system will debit the blocked account to the extent of block and credit charges/ commission account in case of charges block or credit the amount in suspense account for blocks created for collateral.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

Log in into OBTFPM application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of important fields with values.

On Approval, system should not release the Amount Block against each applicable account and system should handoff the "Amount Block Reference Number" to the back office. On successful handoff, back office will make use of these "Amount Block

Reference Number" to release the Amount Block done in the mid office (OBTFPM) and should debit the CASA account from the Back office. If multiple accounts are applicable, Amount Block.

Reference for all accounts to be passed to the back office.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.
- Different collateral to be mapped or utilize lines in place of collateral.

Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account

Application

Refer to [Application Details](#).

Amount Block Exception

This section will display the amount block exception details.

Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and guarantee details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Guarantee Details - User can view and modify Counter Guarantee details and Guarantee details, if required.
- Draft Confirmation - User can view and modify draft guarantee details, legal verification and customer confirmation details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	On click of Reject, user must select a reject reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a reject description. This reject reason will be available in the remarks window throughout the process.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others	
Cancel	Cancel the Amount Block Exception Inputs.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the applicant. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

Log in into OBTFPM application KYC exception queue. KYC exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

User can pick up a transaction and do the following actions:

Approve

- After changing the KYC status in the back end application (outside this process).
- Without changing the KYC status in the back end application.
- Reject (with appropriate reject reason).

Application

Refer to [Application Details](#).

Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and guarantee details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	On click of Reject, user must select a reject reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a reject description. This reject reason will be available in the remarks window throughout the process.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others	

Field	Description	Sample Values
Cancel	Cancel the KYC Exception inputs.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

Exception - Limit Check/Credit

The transactions that have failed limit check due to non-availability of limits will be available in limit check exception queue for further handling.

Log in into OBTFPM application limit check exception queue. Limit check exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.



Note

On Approval of the exception task, system should validate the Limit Availability, Limit Expiry Date in the Limit System and create Earmark in the ELCM system. In case if the Limit is not available or the Limit is expired, then system should display an error message and should not allow the user to approve and proceed.

Limit check Exception approver can do the following actions:

Approve

- Limit enhanced in the back end (outside this process).
- Without enhancing limit in the back end.

Refer

- Refer back to DE providing alternate limit id to map
- Refer additional collateral to be mapped

Reject

The transaction due to non-availability of limits capturing reject reason.

Application

Refer to [Application Details](#).

Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and guarantee details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Guarantee Details - User can view and modify Counter Guarantee details and Guarantee details, if required.

- Draft Confirmation - User can view and modify draft guarantee details, legal verification and customer confirmation details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	On click of Reject, user must select a reject reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a reject description. This reject reason will be available in the remarks window throughout the process.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others	
Cancel	Cancel the Limit check inputs.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

Multi Level Approval

Log in into OBTFPM application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.

In case of MT798, on approval the task is handed off to back office system to create a Guarantee contract and generate the required MT760/761 messages.



Note

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

Authorization Re-Key (Non-Online Channel)

For non-online channel, application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Currency
- Amount

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able see the summary tiles and the details in the screen by drill down from tiles.

The screenshot displays the Oracle Flexcube Universal Banking interface. On the left is a navigation menu with options like 'Core Maintenance', 'Dashboard', 'Maintenance', 'Security Management', 'Tasks', 'Completed Tasks', 'Free Tasks' (selected), 'Hold Tasks', 'My Tasks', 'Search', 'Supervisor Tasks', and 'Trade Finance'. The main area shows a 'Free Tasks' table with columns: Action, Priority, Process Name, Stage, Application Date, and Branch. A modal window titled 'Approval Rekey' is open in the center, containing tabs for 'Incoming Message', 'Documents', and 'Remarks'. The 'Incoming Message' tab is active, showing fields for 'Currency' (set to GBP) and 'Amount' (set to £25,000.00), both with green checkmarks indicating successful validation. At the bottom of the modal are 'Refer', 'Cancel', and 'Proceed' buttons. The background table lists various tasks such as 'Import LC Issuance', 'Import Documentary', 'Export LC Cancellation', 'Export LC Liquidation', 'Export LC Update', 'Export LC Drawing', 'Import Documentary', 'Export LC Advising', 'Export Documentary', and 'Import Documentary'.

Application

Refer to [Application Details](#).

Summary

Free Tasks

FLEXCUBE UNIVERSAL BAN...
Jan 1, 2014

SRIDHAR02
subham@gmail.com

Summary :: Application Number : 300GTEI000030653

Documents
Remarks

Main Details Guarantee Type : BILL Submission Mode : Desk Date Of Issue : 2016-01-01	Guarantee Preferences Click here to view : Guarantee Preference	Local Guarantee Click here to view : Local Guarantee	Party Details Beneficiary : GOODCARE PLC Applicant : MARKS AND Advising Bank : WELLS FARG	Additional Fields Click here to view : Additional fields
Charge Charge : Commission : Tax : Block Status : Not Initia	Limits Details Limit Currency : GBP Limit Contribution : 47500 Limit Status : Available Collateral Currency : GBP Collateral Contr. : 2500 Collateral Status : Available	Compliance KYC : Not Initia Sanctions : Verified AML : Verified		

Audit

Reject Hold Refer Cancel Approve

Tiles Displayed in Summary:

- Main Details - User can view details about application details and guarantee details.
- Guarantee Preferences - User can view guarantee preferences.
- Local Guarantee - User can view local guarantee details.
- Additional Fields - User can view the details of additional fields
- Party Details - User can view party details like beneficiary, advising bank etc.
- Limits and Collaterals - User can view limits and collateral details.
- Charge Details - User can view charge details.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	On click of Reject, user must select a reject reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a reject description. This reject reason will be available in the remarks window throughout the process.	

Field	Description	Sample Values
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others	
Cancel	Cancel the Guarantee Issuance approval.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.	

Customer - Acknowledgement letter

Customer Acknowledgment is generated every time a new Guarantee Issuance is requested from the customer. The acknowledgment letter format is as follows:

The Transaction Reference Number is masked before sending the Draft Import LC for Customer approval.

To:

<CUSTOMER NAME>DATE: DD-MM-YYYY

<CUSTOMER ADDRESS>

Dear Sir,

SUB: Acknowledgement to your Guarantee Application number <CUSTOMER REFERENCE NUMBER> dated <APPLICATION DATE>

This letter is to inform you that we have received your application for issue of Guarantee with the below details:

CUSTOMER NAME: <CUSTOMER NAME>

CURRENCY/AMOUNT: < CCY/AMT>

YOUR REFERENCE NO: <CUSTOMER REFERENCE NUMBER>

OUR REF NUMBER: <PROCESS REFERENCE NUMBER>

APPLICANT NAME: <APPLICANT>

BENEFICIARY NAME: <BENEFICIARY>

Bank Guarantee Number: < Bank Guarantee Number>

DATE OF ISSUE: <DATE OF ISSUE>

DATE OF EXPIRY: <DATE OF EXPIRY>

Guaranty Type: <Guarantee Type>

We have also received the following Documents from you for processing the request:

Document Name 1

2. Document Name 2

n. Document Name n

We have registered your request. Please quote our reference < [PROCESS REF NUMBER](#) > in any future correspondence.

This acknowledgement does not constitute issuance of Guarantee.

Thank You for banking with us.

Regards,

<DEMO BANK>

Notice: This document is strictly private, confidential and personal to its recipients and will not be copied, distributed or reproduced in whole or in part, nor passed to any third party. The information contained in this e-mail/ message and/or attachments to it may contain confidential or privileged information. If you are not the intended recipient, any dissemination, use, review, distribution, printing or copying of the information contained in this e-mail message and/or attachments to it are strictly prohibited. If you have received this communication in error, please notify us by reply e-mail or telephone and immediately and permanently delete the message and any attachments. Thank you

Customer - Reject Letter

Reject Letter is generated by the system and addressed to the customer, when a task is rejected by the user. The Reject Letter format is as follows:

FROM:

<BANK NAME>

<BANK ADDRESS>

TO:DATE <DD/MM/YYYY>

<CUSTOMER NAME>

<CUSTOMER ADDRESS>

<CUSTOMER ID>

Dear Sir,

SUB: Your Guarantee Application <Customer Reference Number> under our Process Ref <Process Ref No> - Rejected

Further to your recent Guarantee application request dated <Application Date –DD/MM/YYYY>, under our process ref no <process ref no>, this is to advise you that we will not be able to issue the Guarantee.

After a thorough review of your application and the supporting documents submitted, we have concluded we will not be able to issue the Guarantee due to the below reason

<Reject Reason >

On behalf of Demo Bank, we thank you for your ongoing business and trust we will continue to serve you in future.

For any further queries about details of your Guarantee application review, please contact us at our bank customer support ph.no xxxxxxxxxxxx

Yours Truly

Authorized Signatory

Reject Approval

As a Reject approver, user can review a transaction rejected and waiting for reject confirmation.

Log in into OBTFPM application to view the reject approval tasks for Guarantee Issuance in queue. On opening the task, you will see summary tiles. The tiles will display a list of important fields with values.

The tile containing the screen from where the reject was triggered will be highlighted in red.

User can drill down from reject summary tiles into respective data segments to verify the details of all fields under the data segment.

Application Details

The application details data segment have values for requests received from both non-online and online channels.

Summary

The data captured during handling of the transaction until the stage when reject is given will be available in the summary tile. Other fields will be blank when verified from summary tile.

The data segment in which the task was rejected will have the tiles highlighted in a different colour (red).

- Main Details - User can view and modify details about application details and guarantee details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charge Details - User can view and modify charge details, if required.
- Guarantee Details - User can view and modify Counter Guarantee details and Guarantee details, if required.

- Draft Confirmation - User can view and modify draft guarantee details, legal verification and customer confirmation details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Remarks - As a Reject approval user, you will be able to view the remarks captured in the process during earlier stages. User also can see the Reject code with reason for rejection in the Remarks column

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject Approve	On click of Reject approve, the transaction is rejected.	
Reject Decline	On click of Reject Decline, the task moves back to the stage where it was rejected. User can update the reason for reject decline in remarks.	
Hold	User can put the transaction on 'Hold'. Task will remain in Pending state.	
Cancel	Cancel the Reject Approval.	

Initiate - Guarantee Issuance Template Maintenance

The user can create Guarantee Issuance Template and can use these templates to create Guarantee Issuance. The user can provide the basic application details to initiate the process.

Using the entitled login credentials, login to the OBTFPM application.

FuTura Bank

Sign In

User Name *

SRIDHAR

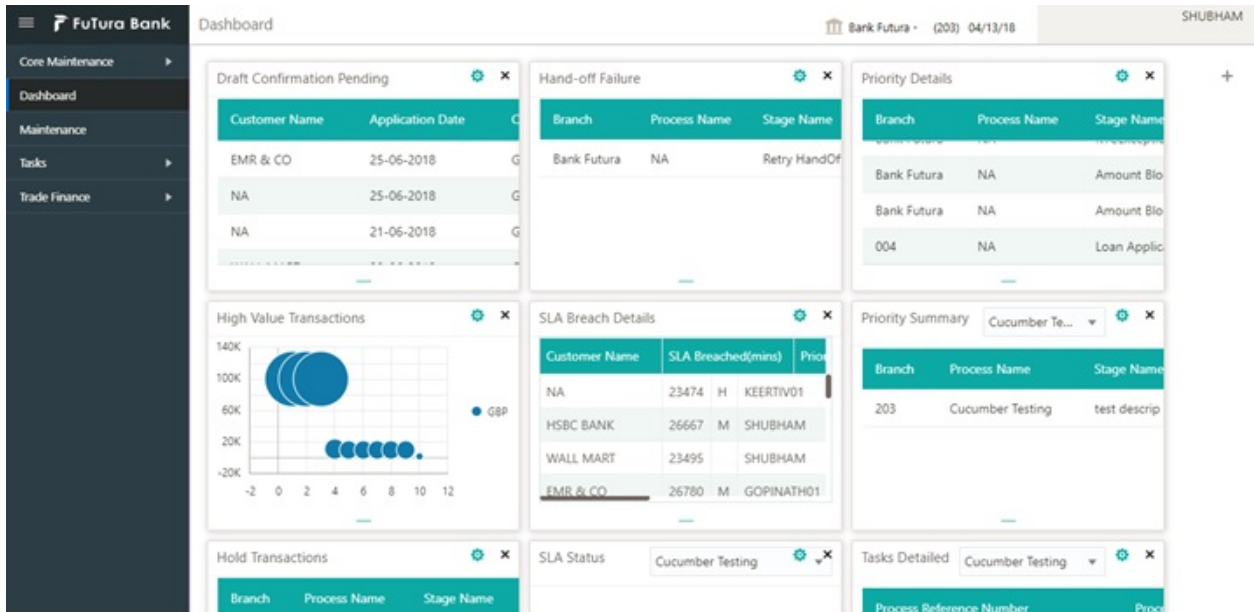
Password *

.....

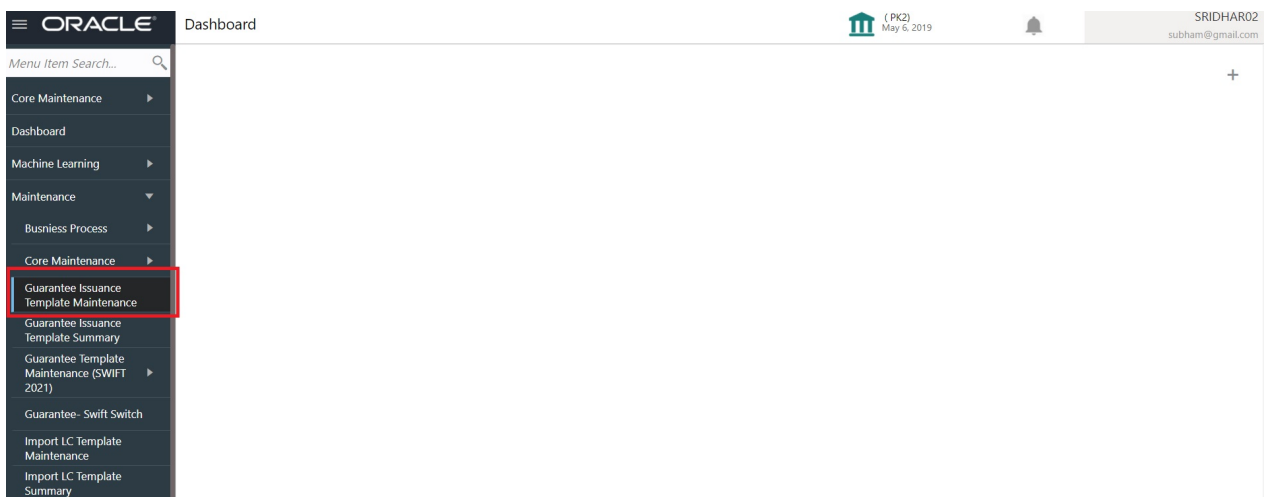
Sign In

Cancel

6. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



7. Click **Maintenance> Guarantee Issuance Template Maintenance > Guarantee Issuance Template Maintenance.**



Create Guarantee Issuance Template Maintenance (SWIFT 2021)

User can create a new Guarantee Issuance template maintenance.

The user can capture the basic application details in the Guarantee Issuance Template Maintenance screen. The Guarantee Issuance Template Maintenance screen has three sections as SBLC Guarantee Details, Sequence B and Sequence C.

SBLC/ Guarantee Details

ORACLE Dashboard (300) Jan 1, 2016 JEEV subham@gmail

Create Guarantee Template Maintenance (SWIFT 2021)

Template Code * Template Name * Branch

SBLC/Guarantee Details

Applicant *

22K - Type of Undertaking *

23X - File Identification

Advising Bank

22D - Form of Undertaking *

22K - Narrative

23X - Narrative

59 - Beneficiary Name *

Product Code

22A - Purpose of Message *

40E - Applicable Rules *

Counter SBLC/Guarantee Issuing Bank *

Product Description **Stand by LC Issuance (MT 700)-Amour**

23B - Expiry Type *

40C - Narrative

Local SBLC/Guarantee Issuing Bank *

Sequence B

77U - Terms and Conditions *

48B - Demand Indicator

24G - Delivery to/ Collection by

72-Sender to Receiver Information

49-Confirmation Instructions

44H - Governing Law

45L - Underlying Transaction Details

24G - Narrative

71D - Charges

58A - Requested Confirmation Party

23F - Auto Extension Period

24E - Delivery of Local Undertaking

48D - Transfer Indicator

57A-Advise Through Bank

Confirming Bank

Extension Details *

24E - Narrative

39E- Transfer Conditions

41a-Available with

Sequence C

22D - Form of Undertaking

50 - Applicant Name

31C - Date of Issue

23F - Auto Extension Period

48B - Demand Indicator

24G - Delivery to/ Collection by

77L - Req. Local Undertaking T & C *

44H - Governing Law

22V- Standard Wording Required

Extension Details

45L - Underlying Transaction Details

24G - Narrative

40C - Applicable Rules

59 - Beneficiary Name

Guarantee Language

48D - Transfer Indicator

24E - Delivery of Local Undertaking

22K - Type of Undertaking

41a-Available with

Documents and Presentation Instructions

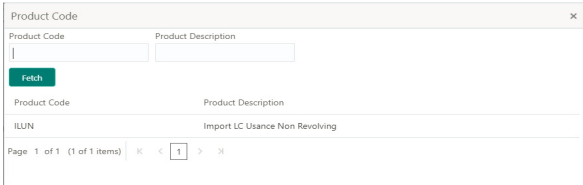
39E- Transfer Conditions

24E - Narrative

Provide the Guarantee Details based on the description in the following table:

Field	Description	Sample Values
Template Code	User can enter the Guarantee Issuance Template Code.	
Template Name	User can enter the Guarantee Issuance Template name.	
Branch	User can enter the branch.	203-Bank Futura -Branch FZ1

Guarantee Details

Field	Description	Sample Values
Applicant	Applicant details will be auto populated based on the details provided in Application Details section. Alternatively user can search for the same by using the LOV. As part of LOV criteria; user can input the Customer Number, Customer Name. System will display all the Applicant combination and user can select the Applicant from the list.	001345 Nestle
Form of Undertaking	Select the Form of Undertaking from the available options: • DGAR - Guarantee • STBY - Standby LC  Note This is a mandatory field.	
Product Code	Select the applicable product code. Click the look up icon to search the product code with code or product description.  You can also enter the product code and on tab out system will validate and populate the selected product description. The product codes will be listed based on the selected value in Form of Undertaking. Guarantee or Standby LC and not both.	GUIS
Product Description	Description of the product maintained at the Back Office is displayed based on the Product Code selected.	Guarantee Issuance / Re-issuance upon receiving request

Field	Description	Sample Values
Type of Undertaking	Select the type of undertaking from the following available options: • APAY - Advance Payment • BILL - Bill of Lading • CRED - Credit Facilities Guarantee • CUST - Customs • DPAY - Direct Pay • INSU - Insurance • JUDI - Judicial • LEAS - Lease • PAYM - Payment Guarantee • PERF - Performance • Performance Guarantee - Contractual Obligation • Performance Guarantee - Delivery Obligation • Performance Guarantee - Warranty Obligation • RETN - Retention • SHIP - Shipping • TEND - Tender or Bid • WARR - Warranty/ Maintenance • OTHR - Others	
Narrative	Provide the details of any other type of local undertaking. This field is applicable if the Type of Undertaking has value as OTHR.	
Purpose of message	Select the purpose of message from the LOV: • ISSU - Issue of Undertaking In case the guarantee is issued directly to the beneficiary or issued to beneficiary through Advising Bank or Advise Through Bank. • ICCO - Issuance of counter-counter-undertaking and request to issue counter-undertaking The bank receiving the Undertaking (usually through SWIFT MT 760) is required to issue a Counter- undertaking to another bank requesting the third Bank to issue Local Undertaking favoring the Beneficiary. • ISCO - Issuance of counter-undertaking and request to issue local undertaking The bank receiving the Undertaking (usually through SWIFT MT 760) is required to issue Local.	

Field	Description	Sample Values
Expiry Type	This field indicates whether undertaking has specified expiry date or is open-ended. Select the applicable value from the available options: - COND - Conditional Expiry - FIXD - Specified expiry date (with/without automatic expansion) - OPEN - No specific date of expiry	
File Identification	This field enables the user to select the type of delivery channel and its associated file name or reference from the available values: - COUR - Courier delivery (for example FedEx, DHL, UPS) - EMAL - Email transfer - FACT - SWIFTNet FileAct - FAXT - Fax transfer - HOST - Host-to-Host - MAIL - Postal Delivery - OTHR - Other delivery channel	
Narrative	Provide the description. This field is applicable if the File Identification has value as COUR or OTHR.	
Applicable Rules	Select the applicable rules for the Guarantee Issuance from the available options: - URDG - Uniform rules for demand guarantees - UCPR - Uniform Customs and Practices - ISPR - International standby Practices - NONE - Not Subject to any rules - OTHR	URDG - Uniform rules for demand guarantees
Narrative	Provide the description. This field is applicable if the Applicable Rules has value as OTHR.	
Advising Bank	User can input the Party ID and on tab out system will populate the details. Alternatively user can search for the same by using the LOV. As part of LOV criteria; user can input the Customer ID, Customer Name or Walk in. System will display all the Advising Bank combination and user can select the Advising Bank from the list.	001343 - Bank Of America

Field	Description	Sample Values
Beneficiary Name	This field specifies the party in whose favor the undertaking (or counter-undertaking) is issued. User can input the Party ID. Alternatively user can search for the same by using the LOV. As part of LOV criteria; user can input the Customer ID and Customer Name. System will display all the Beneficiary combination and user can select the Beneficiary from the list.	001344 EMR & CO
Counter SBLC/Guarantee Issuing Bank	Select the Counter Guarantee Issuance Bank from the LOV. Only Bank type of customer should be available for the user to select from LOV. This field is applicable only if the Purpose of Message field has value as ICCO.	
Local SBLC/Guarantee Issuing Bank	Select the Local Guarantee Issuance Bank from the LOV. Only Bank type of customer should be available for the user to select from LOV. This field is applicable only if the Purpose of Message field has value as ICCO or ISCO.	

Sequence B

ORACLE Dashboard (300) Jan 1, 2016 JEEV subham@gmail

Create Guarantee Template Maintenance (SWIFT 2021)

Template Code *
GUA01

Template Name *
GuaranteeIssuanceTemplate01

Branch
004

SBLC/Guarantee Details

Applicant *
000887 TRADE_CIF_00

22D - Form of Undertaking *
STBY - Standby LC

22K - Type of Undertaking *
CRED - Credit Facilities Guarantee

23X - File Identification

Advising Bank

22D - Form of Undertaking *
STBY - Standby LC

22K - Narrative

23X - Narrative

59 - Beneficiary Name *
001083 PALM

Product Code
SB93

22A - Purpose of Message *
ICCO - Issuance of counter-coun...

40E - Applicable Rules *
UCPR - Uniform customs and Pr...

Counter SBLC/Guarantee Issuing Bank *
001505 WFBUIUS65

Product Description
Stand by LC Issuance (MT 700)-Amou

23B - Expiry Type *
OPEN - Open

40C - Narrative

Local SBLC/Guarantee Issuing Bank *
001510 MIZUHO

Sequence B

7U - Terms and Conditions *
Test

48B - Demand Indicator
Multiple demands not permitted

24G - Delivery to/ Collection by

72-Sender to Receiver Information
Sender to Receiver In

49-Confirmation Instructions

44H - Governing Law
Governing Law and/c

45L - Underlying Transaction Details

24G - Narrative

71D - Charges

58A - Requested Confirmation Party

23F - Auto Extension Period

24E - Delivery of Local Undertaking

48D - Transfer Indicator

57A-Advise Through Bank

Confirming Bank

Extension Details *

24E - Narrative

39E - Transfer Conditions

41a-Available with
POPRIT31063

Sequence C

22D - Form of Undertaking
STBY - Standby LC

50 - Applicant Name
000887 TRADE_CIF_000

31C - Date of Issue

23F - Auto Extension Period

48B - Demand Indicator
Multiple demands not permitted

24G - Delivery to/ Collection by

77L - Req. Local Undertaking T & C *

44H - Governing Law
Governing Law and/c

22Y- Standard Wording Required

Extension Details

45L - Underlying Transaction Details

24G - Narrative

40C - Applicable Rules
UCPR - Uniform customs and Pr...

59 - Beneficiary Name
001083 PALM

Guarantee Language

48D - Transfer Indicator

24E - Delivery of Local Undertaking

22K - Type of Undertaking
CRED - Credit Facilities Guarantee

41a-Available with
POPRIT31063

Documents and Presentation Instructions

39E - Transfer Conditions

24E - Narrative

Save Cancel

Field	Description	Sample Values
Terms and Conditions	Specifies the applicable terms and conditions of the undertaking that are not already mentioned in any other field in this message.	
Governing Law and Jurisdiction	Select the applicable governing law and jurisdiction that is applicable to the undertaking. User should be able to pick the FFT value from LOV. The FFT Code is 44HGOVRNLAW.	44HGOVRNLAW

Field	Description	Sample Values
Auto Extension Period	Select the auto extension period for expiry date from the following options: • Days (Number of Calendar Days after latest Expiry Date) • One year (Same Date one year later) • Others (Other Extension Clause)  Note If value is available here, Auto Extension toggle is set to On. This field should be disabled if value in field 23 B- Validity is 'Open'	
Extension Details	 Note This field is applicable only if Auto Extension Period field value is Days Or Others. For value 'Days' maximum value allowed in 999. This field is not also applicable if field 23F has value as 'ONE YEAR'.	
Demand Indicator	This field specifies whether partial and/or multiple demands are not permitted. Select the demand indicator from the following options: • Multiple demands are not permitted - Partial amount can be claimed • Partial demands are not permitted - Entire amount can be claimed • Multiple and partial demands are not permitted - Entire amount can be claimed	
Underlying Transaction Details	Select the underlying business transaction details (for which the undertaking is issued) from the LOV. User should be able to select the FFT details using LOV.	45LTRNDTLS

Field	Description	Sample Values
Delivery of Local Undertaking	Select the method of the delivery from the following options by which the original local undertaking needs to be delivered: • COLL - By Collection • COUR - By Courier (e.g. Fedex, DHL, UPS) • MAIL - By Mail • MESS - By Messenger - Hand Deliver • OTHR - Other Method • REGM - By Registered Mail or Airmail  Note This field is not applicable, if Purpose of Message field value is ICCO/ISCO. Additional Information may be present if Code has the value COUR or OTHR, otherwise it is not allowed.	
Narrative	Provide the description of method of delivery of original undertaking.  Note This field is applicable only if the Delivery of Original Undertaking field value is COUR/OTHR.	
Delivery to/Collection by	Select the details of to whom the original local undertaking is to be delivered or by whom the original local undertaking is to be collected: • BENE - Beneficiary • OTHR - Others  Note This field is not applicable, if Purpose of Message field value is ICCO/ISCO. If Code is OTHR, then Name and Address must be specified using Narrative Field.	
Narrative	Provide the description.  Note This field is applicable only if the Delivery to/Collection by field value is OTHR.	

Field	Description	Sample Values
Transfer Indicator	Select the check box if the undertaking is transferable.  Note If field 22A is ISCO or ICCO, then, in sequence B, field 24G is to be disabled.	
Transfer Conditions	Provide the conditions to transfer the undertaking.  Note This field should be enabled only if Transfer Indicator is set to 'Yes'.	
Sender to Receiver Information	This field specifies additional information for the Receiver. User should be able to choose the FFT value SND2RECMT760.	
Charges	Enter the information about charges associated with the undertaking.	
Advice Through Bank	Select the additional bank to advice the undertaking from the LOV.  Note This field is applicable only if Advice Through Bank field in Main Details has value.	
Available With	This field identifies the bank with which the credit is available of the issued LC. User can enter the BIC Code or type any text or select a BIC code from LOV. Search the bank with SWIFT code (BIC) or Bank Name. System should validate if the text given is a BIC Code and provide a warning if the text typed is not aligned with number of BIC code characters.	

Field	Description	Sample Values
Confirmation Instructions	Select the instructions from the issuing bank to the advising party. The values are: • CONFIRM (The requested confirmation party is requested to confirm the credit) • MAY ADD (The requested confirmation party may add its confirmation to the credit) • WITHOUT  Note This field is enabled only if the form of undertaking is 'SBLC'.	
Requested Confirmation Party	Select the requested confirmation party from the available options: • Advising Bank • Advise Through Bank • Others  Note This field is applicable if the Confirmation Instructions is Confirm or May Add.	
Confirming Bank	Select the Bank type of customer as the confirming bank using LOV.  Note This field is applicable if the Requested Confirmation Party value is Others.	

Sequence C

ORACLE Dashboard (300) Jan 1, 2016 JEEV subham@gmail

Create Guarantee Template Maintenance (SWIFT 2021)

Template Code * Template Name * Branch

SBLC/Guarantee Details

Applicant *

22K - Type of Undertaking *

23X - File Identification

Advising Bank

22D - Form of Undertaking *

22K - Narrative

23X - Narrative

59 - Beneficiary Name *

Product Code

22A - Purpose of Message *

40E - Applicable Rules *

Counter SBLC/Guarantee Issuing Bank *

Product Description

23B - Expiry Type *

40C - Narrative

Local SBLC/Guarantee Issuing Bank *

Sequence B

77U - Terms and Conditions *

48B - Demand Indicator

24G - Delivery to/ Collection by

72-Sender to Receiver Information

49-Confirmation Instructions

44H - Governing Law

23F - Auto Extension Period

24E - Delivery of Local Undertaking

48D - Transfer Indicator

57A-Advise Through Bank

Confirming Bank

45L - Underlying Transaction Details

24G - Narrative

71D - Charges

58A - Requested Confirmation Party

Extension Details *

24E - Narrative

39E- Transfer Conditions

41a-Available with

Sequence C

22D - Form of Undertaking

50 - Applicant Name

31C - Date of Issue

23F - Auto Extension Period

48B - Demand Indicator

24G - Delivery to/ Collection by

77L - Req. Local Undertaking T & C *

44H - Governing Law

22Y- Standard Wording Required

Extension Details

45L - Underlying Transaction Details

24G - Narrative

40C - Applicable Rules

59 - Beneficiary Name

Guarantee Language

48D - Transfer Indicator

24E - Delivery of Local Undertaking

22K - Type of Undertaking

41a-Available with

Documents and Presentation Instructions

39E- Transfer Conditions

24E - Narrative

Field	Description	Sample Values
Form of Undertaking	Read Only field. The value is defaulted from previously entered value.	
Req. Local Undertaking T&C	Specifies the requested terms and conditions of the local undertaking.	GUIS

Field	Description	Sample Values
Applicable Rules	The value is defaulted from previously entered value. User can change. Values are: • URDG - Uniform Rules for Demand Guarantees • UCPR - Uniform Customs and Practices • ISPR - International Standby Practices • NONE - Not Subject to any rules • OTHR	
Type of Undertaking	Read only field. The value is defaulted from previously entered value.	
Applicant Name	Read only field. The value is defaulted from previously entered value.	
Governing Law	User can enter the details by selecting FFT from LOV. Details captured against FFT Code 44HGOVRNLAW.	44HGOVRNLAW
Beneficiary Name	Read only field. The value is defaulted from previously entered value.	
Available With	Read only field. The value is defaulted from previously entered value.	
Date of Issue	User can enter the specified date on or by which the requested local undertaking is to be issued.	
Standard Wording Required	Specifies that the wording of the terms and conditions must be the standard wording of the local undertaking issuer. Valid Code - STND Standard wording of issuer required.	
Guarantee Language	Select the specified language from LOV. Specifies the requested ISO 639 language code for the wording of the local undertaking.	
Documents and Presentation Instructions	Specifies the presentation instructions (for example, form and/or place of presentation) including documents required to make a complying demand.	

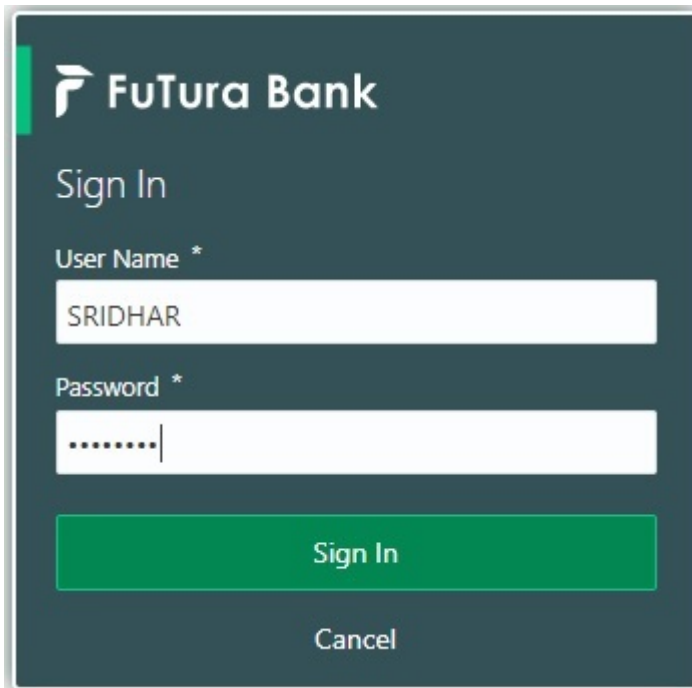
Field	Description	Sample Values
Auto Extension Period	The value is defaulted from previously entered value. User can change the value. Indicates details about the automatic extension of the expiry date. User should be able to pick the appropriate value from drop down. Valid codes: • DAYS (Number of Calendar Days after latest Expiry Date) • ONE YEAR (Same Date one year later) • OTHERS (Other Extension Clause)  Note This field should be disabled if value in field 23 B- Validity is 'Open'.	
Extension Details	The value is defaulted from previously entered value. User can change the value. Provide the extension details for the expiry date.  Note This field is applicable only if Auto Extension Period field value is Days/ Others.	
Transfer Indicator	The value is defaulted from Sequence B. User cannot change the value.	
Transfer Conditions	The value is defaulted from Sequence B. User cannot change the value.	
Demand Indicator	The value is defaulted from Sequence B. User cannot change the value.	
Underlying Transaction Details	Select the underlying business transaction details (for which the undertaking is issued) from the LOV.	

Field	Description	Sample Values
Delivery of Local Undertaking	Select the method of the delivery from the following options by which the original local undertaking needs to be delivered: • COLL - By Collection • COUR - By Courier (e.g. Fedex, DHL, UPS) • MAIL - By Mail • MESS - By Messenger - Hand Deliver • OTHR - Other Method • REGM - By Registered Mail or Airmail  Note If Purpose of Message field value is ICCO/ISCO, then in sequence B, field 24E is disabled.  Note Additional Information may be present if Code has the value COUR or OTHR, otherwise it is not allowed.	
Narrative	Provide the details of any other type of local undertaking. This field is applicable if the Delivery of Local Undertaking has value as COUR OR OTHR.	
Delivery to/Collection by	Select the details of to whom the original local undertaking is to be delivered or by whom the original local undertaking is to be collected: The valid Codes are: • BENE - Beneficiary • OTHR - Others  Note If Purpose of Message field value is ICCO/ISCO, then in sequence B, field 24E is disabled.	
Narrative	Provide the description/narrative.  Note This field is applicable only if the Delivery to/Collection by field value is OTHR.	

Guarantee Issuance Template Summary

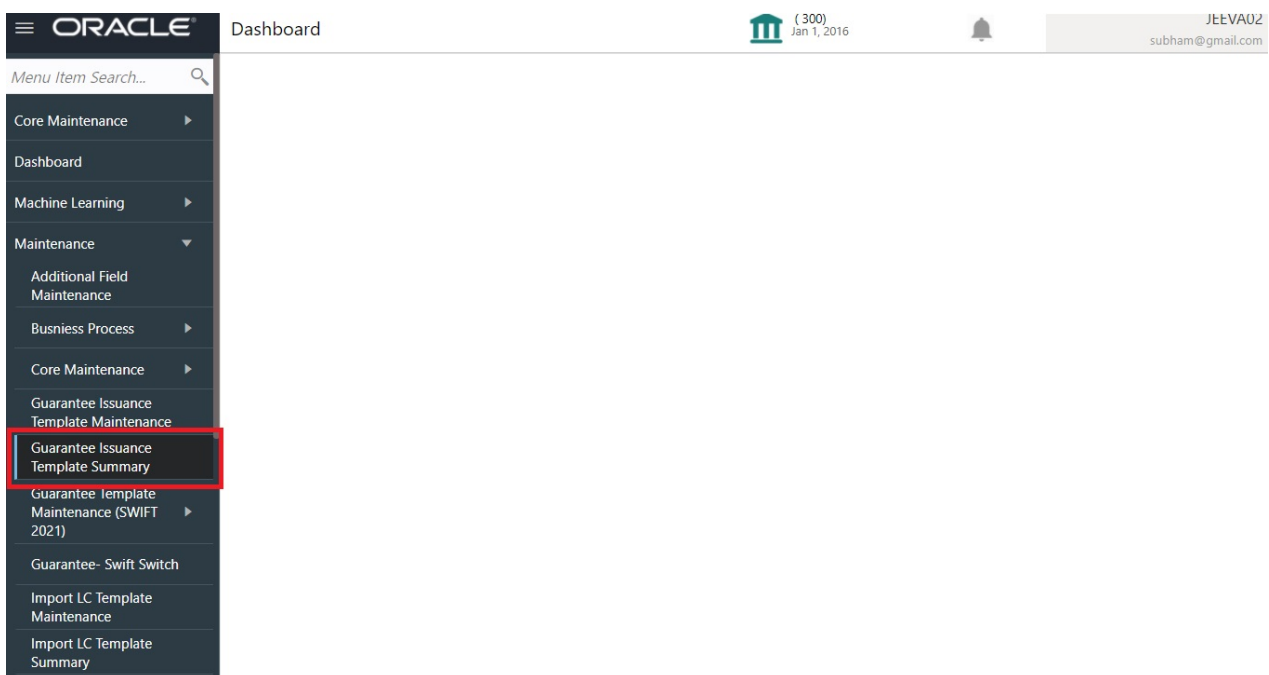
This screen allows the user to view the list of Guarantee Issuance Template/s saved.

1. Using the entitled login credentials, login to the OBTFPM application.



The image shows a login screen for FuTura Bank. It has a dark blue header with the bank's logo and name. Below the header, there is a 'Sign In' section. It includes a 'User Name' field with the text 'SRIDHAR' and a 'Password' field with masked characters '.....'. There are two buttons at the bottom: a green 'Sign In' button and a grey 'Cancel' button.

2. Click **Maintenance > Guarantee Issuance Template Summary**



Guarantee Issuance Template Summary

The Guarantee Issuance template/s Summary screen fetches and displays all the available templates. User can also filter the template using filter based on following parameters:

- Template Code
- Template Name
- Applicant Name

- Beneficiary Name

Dashboard

(300)
Jan 1, 2016

JEEVA02
subham@gmail.com

Guarantee Issuance Template Summary

Search

Template Code

TEST1

Template Name

TEST1

Applicant Name

001507

Applicant Name

001506

S.No	Template Code			Applicant Name		
1	TEST1	TEST1	001507	001506	Authorized	
2	GUAT0021	ggg	000_TRADECUS01	000_TRADECUS01	Authorized	

Clear

Close

Search the template based on the description in the following table:

Field	Description	Sample Values
Template Code	Search the template by selecting the Template Code from LOV.	
Template Name	Template Name of the template maintained is displayed based on the Template Code selected.	
Applicant Name	Applicant Name in the template maintained is displayed based on the Template Code selected.	
Beneficiary Name	Beneficiary Name in the template maintained is displayed based on the Template Code selected.	

- Select the Template Code from LOV, Template Name, Applicant Name, Beneficiary Name of the template maintained is displayed based on the selected Template Code is displayed.
- Click "Search" button. The Guarantee Issuance Template maintained is displayed.
- Click the specific template to modify, Copy and Delete the template.

Guarantee Issuance Template - Modify

The OBTFPM user can update the Guarantee Issuance data in the template by clicking the 'Modify' button and can save the updated details. System does not allow the user to edit the Template Code and Template Name.

All the validation applicable for creating new template are applicable here also.

ORACLE Guarantee Issuance Template Maintenance (PK2) Mar 22, 2019 PRASHANT01 subham@gmail.com

Template Code * ABC1001 Template Name * ABC Corporation

Modify Copy Delete

SBLC/Guarantee Details

Applicant * 22D - Form of Undertaking * Product Code * Product Description

22K - Type Of Undertaking * 22K - Narrative 22A - Purpose of Message * 23B - Expiry Type *

23X - File Identification 23X - Narrative 40E - Applicable Rules * 40C - Narrative

Beneficiary * Advising Bank Counter SBLC/Guarantee Issuing Bank Local SBLC/Guarantee Issuing Bank

Sequence B

77U - Terms and Conditions * 44H - Governing Law and Jurisdiction 23F - Auto Extension Period Extension Details

48B - Demand Indicator 45L - Underlying Transaction Details 24E - Delivery of Original Undertaking 24E - Narrative

24G - Delivery to/Collection by 24G - Narrative 48D - Transfer Indicator 39E - Transfer Conditions

72Z - Sender to Receiver Information 71D - Charges 57A - Advise Through Bank 41A - Available With

49 - Confirmation Instruction 58A - Requested Confirmation Party Confirming Bank

Sequence C

22D - Form Of Undertaking 77L - Req. Local Undertaking T & C 40C - Applicable Rule 22K - Type Of Undertaking

DGAR - Guarantee 44H - Governing Law 40C - Uniform rules for dema... BILL - Bill of lading

Applicant 001044 GOODCARE PLC 001043 MARKS AND SP 001043

31C - Requested Issue Date 22Y - Standard Wording Required 40D - Guarantee Language Documents and Presentation Instructions

23F - Auto Extension Period Extension Details 48D - Transfer Indicator 39E - Transfer Conditions

48B - Demand Indicator 45L - Underlying Transaction Details 24E - Delivery of Local Undertaking 24E - Narrative

24G - Delivery to/Collection by 24G - Narrative

Save Cancel

6. Modify the template details and click **Save** to save the modified details.

Guarantee Issuance Template - Copy

The OBTFPM user can copy the details of existing Guarantee Issuance Template by clicking the 'Copy' button and a new screen should get open with the copied details. System allows the user to name the Template Code and Template Name.

All the validation applicable for creating new template are applicable here also.

7. Click **Copy** to copy the exiting details, a new screen is displayed with the copied details.

Guarantee Issuance Template - Delete

The OBTFPM user can delete the details of existing Guarantee Issuance Template by clicking the 'Delete' button.

8. Click **Delete** to delete the template details, a pop-up screen with warning message "Are you sure you want to delete the Template?" Yes/No is displayed.

9. Click **Yes** button, the Template get sdeleted from the system.

10. Click '**No**' to go back on the Template details.

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References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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